

Commissioner for Patents  
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AMENDMENT TO DRAWING SHEETS

Application No. : 09/897,437  
Filing Date : 07/03/2001  
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Confirmation No. : 8157  
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Art Unit : 3692  
Office Mail Date : March 7, 2007  
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APPENDIX B

ANNOTATED SHEETS  
(Annotated Marked-up Drawings)

1/44

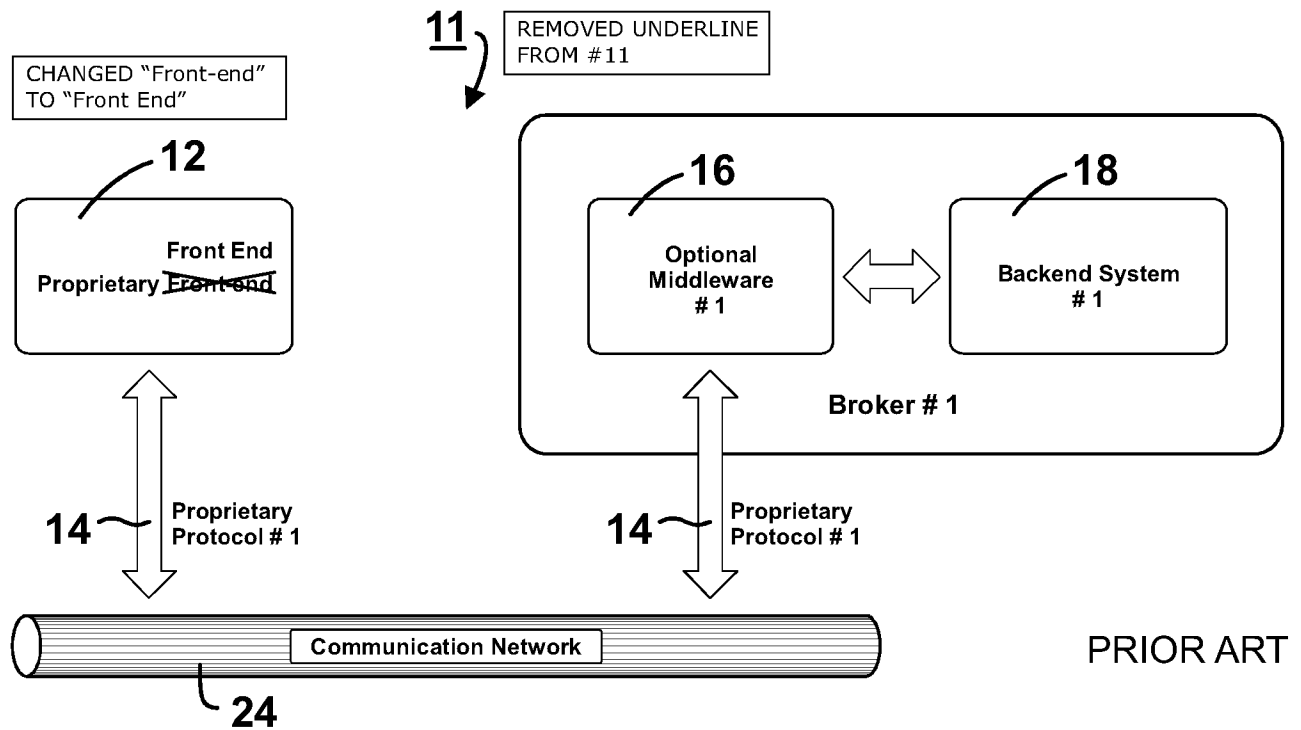


FIG. 1

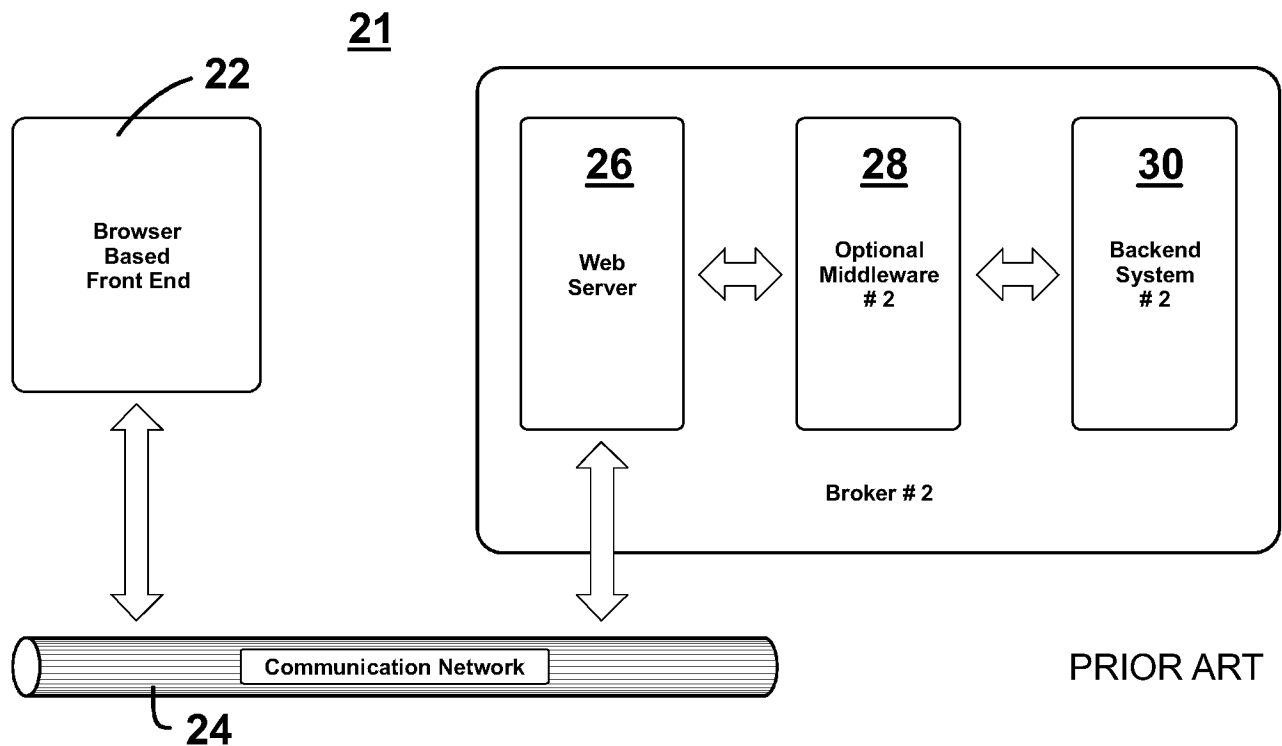


FIG. 2

2/44

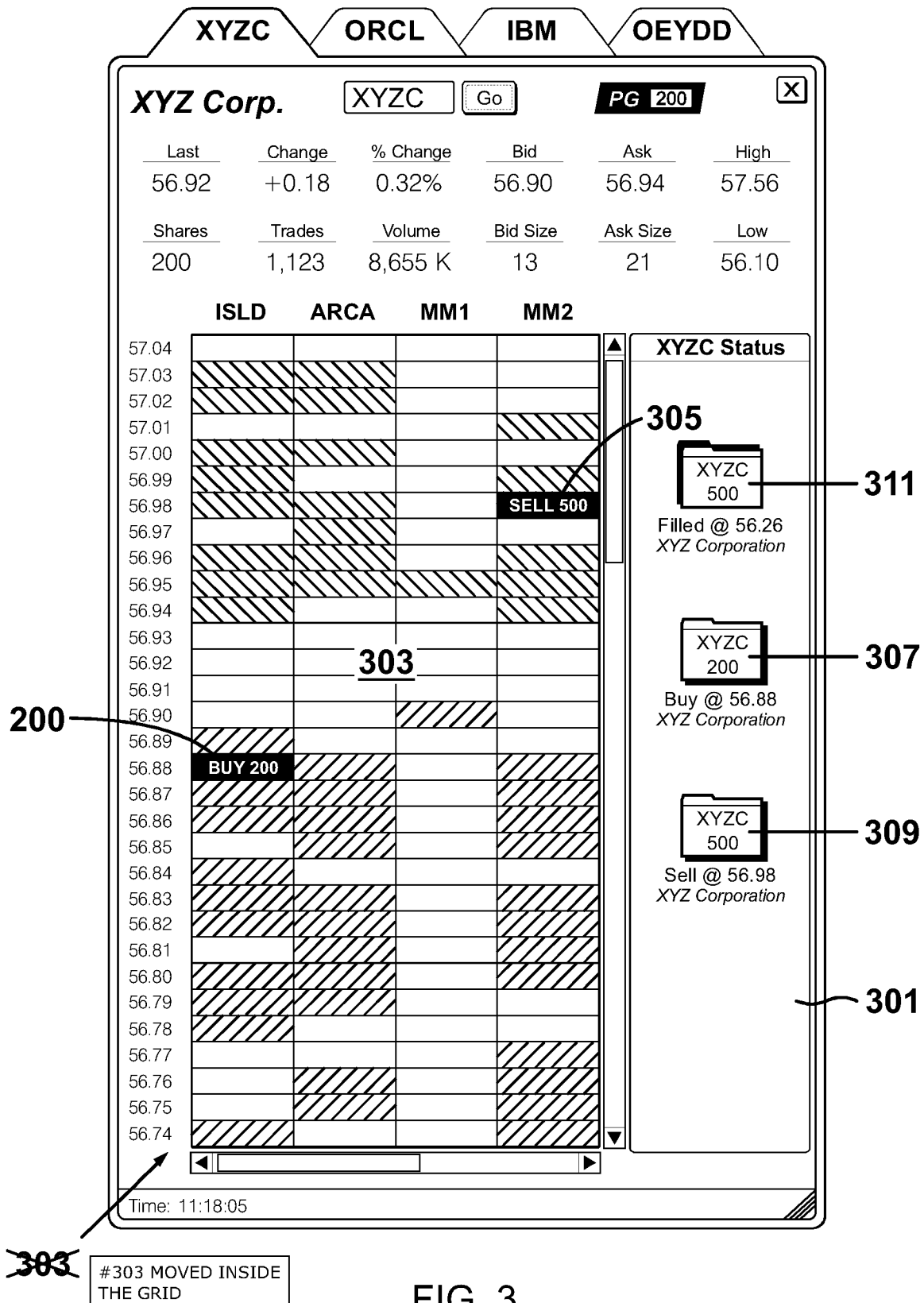


FIG. 3

3/44

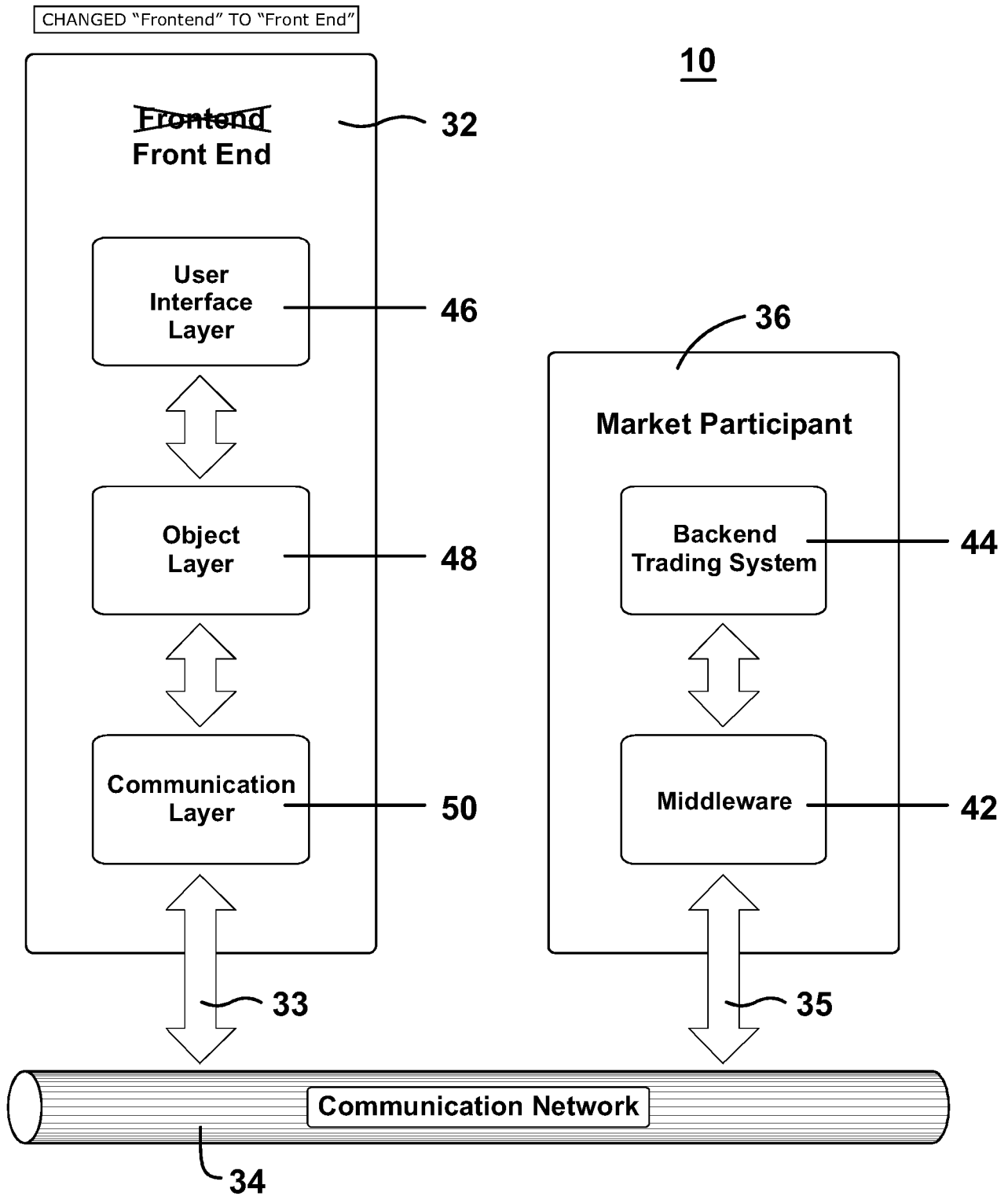


FIG. 4

4/44

#32, CHANGED "Main Program"  
TO "Front End"

#66, CHANGED "Account"  
TO "Accounts and"

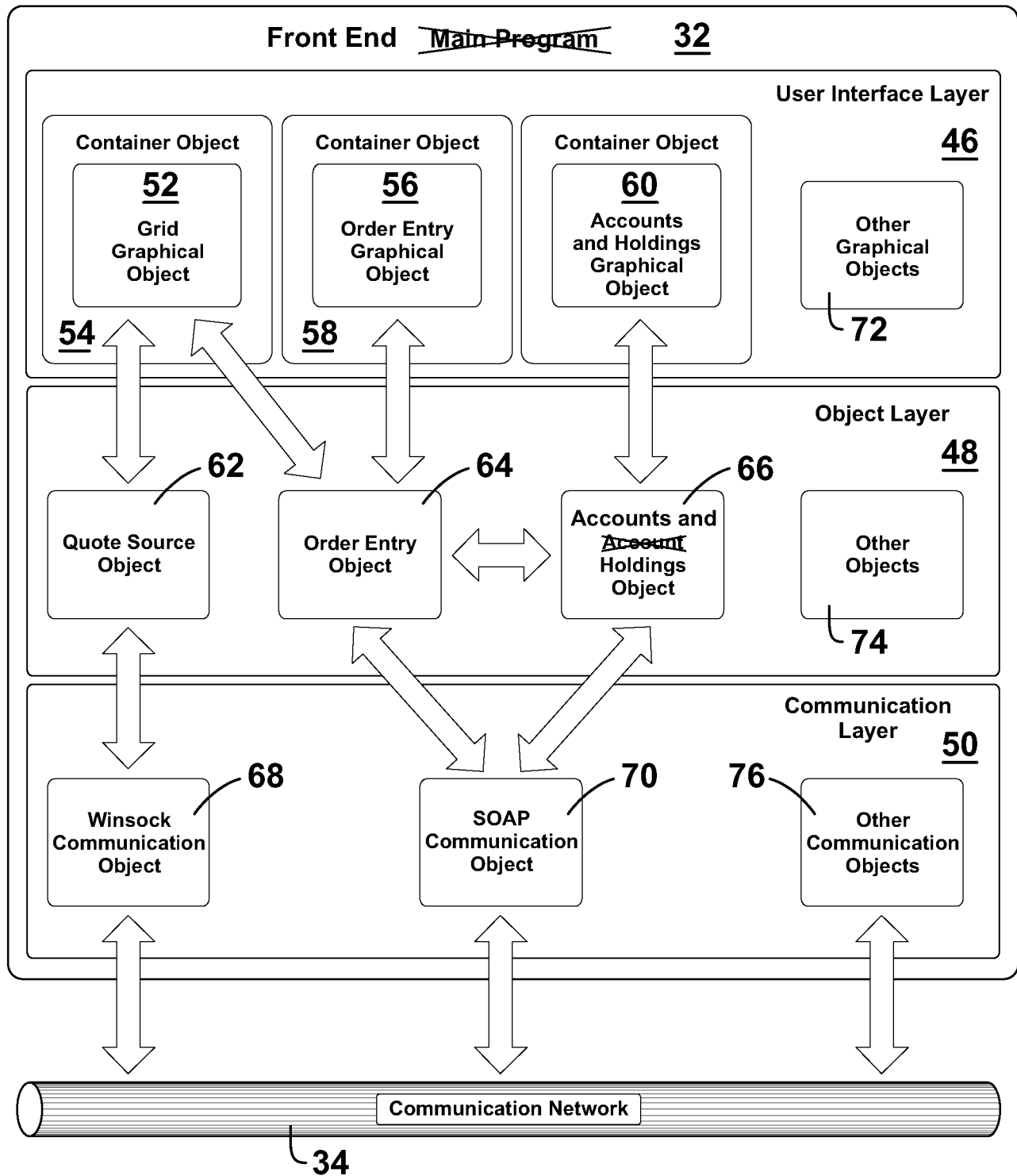


FIG. 5

7/44

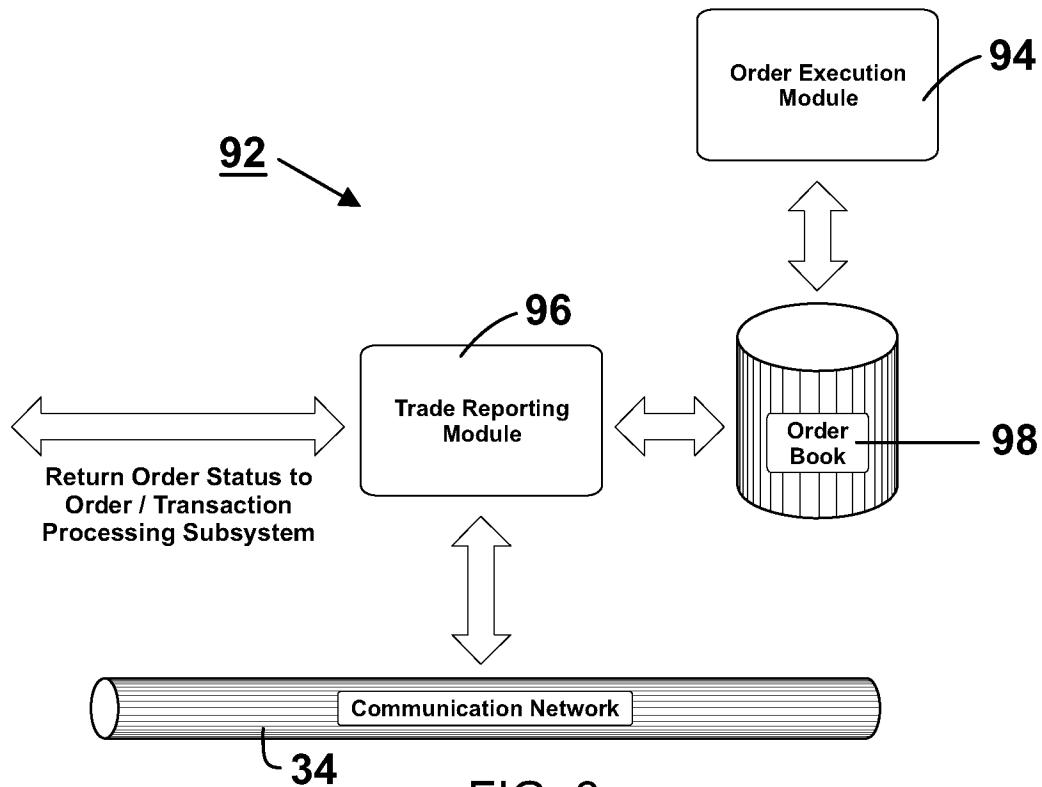


FIG. 8

CHANGED "Back-end" TO "Backend"

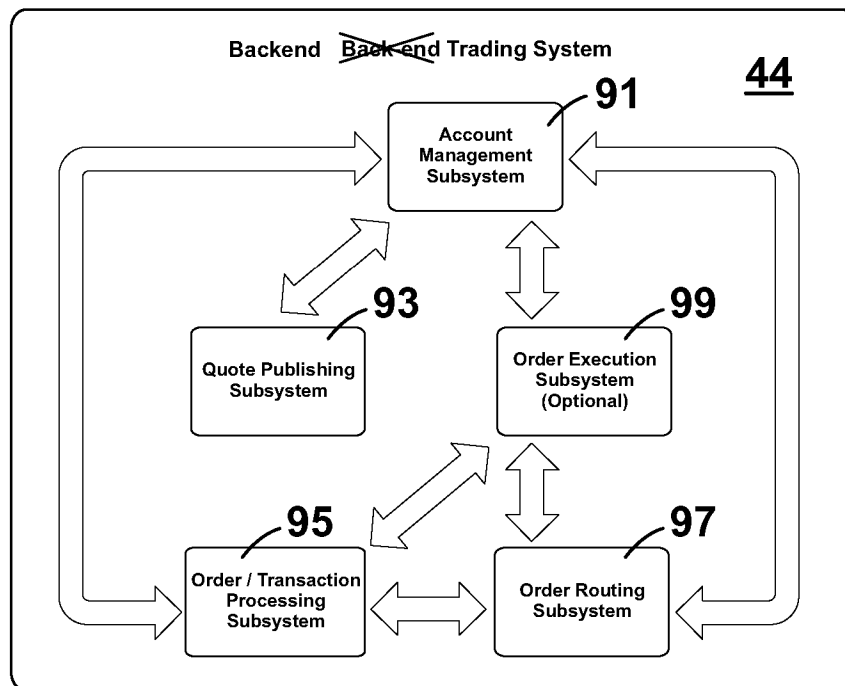


FIG. 9

8/44

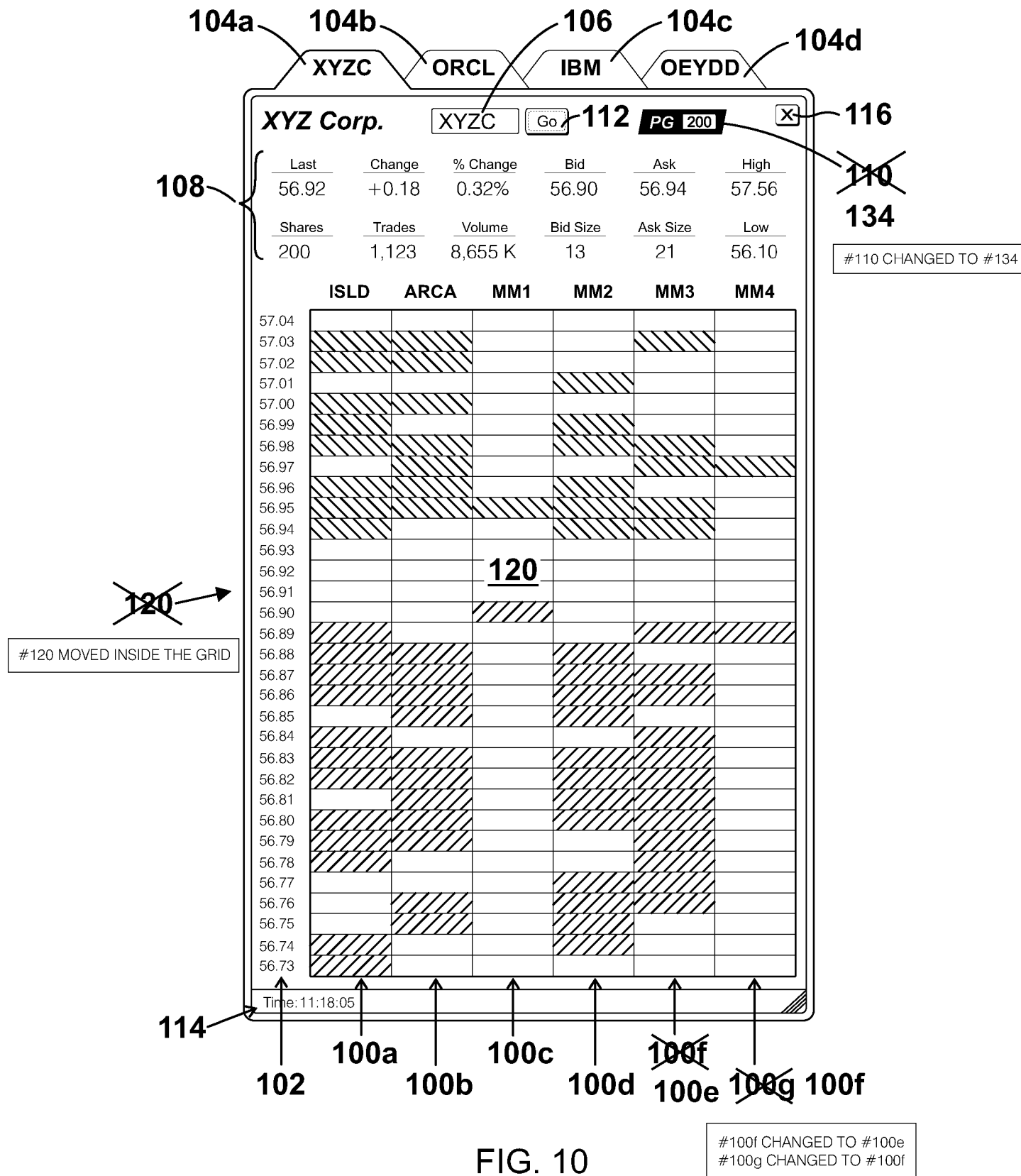


FIG. 10

9/44

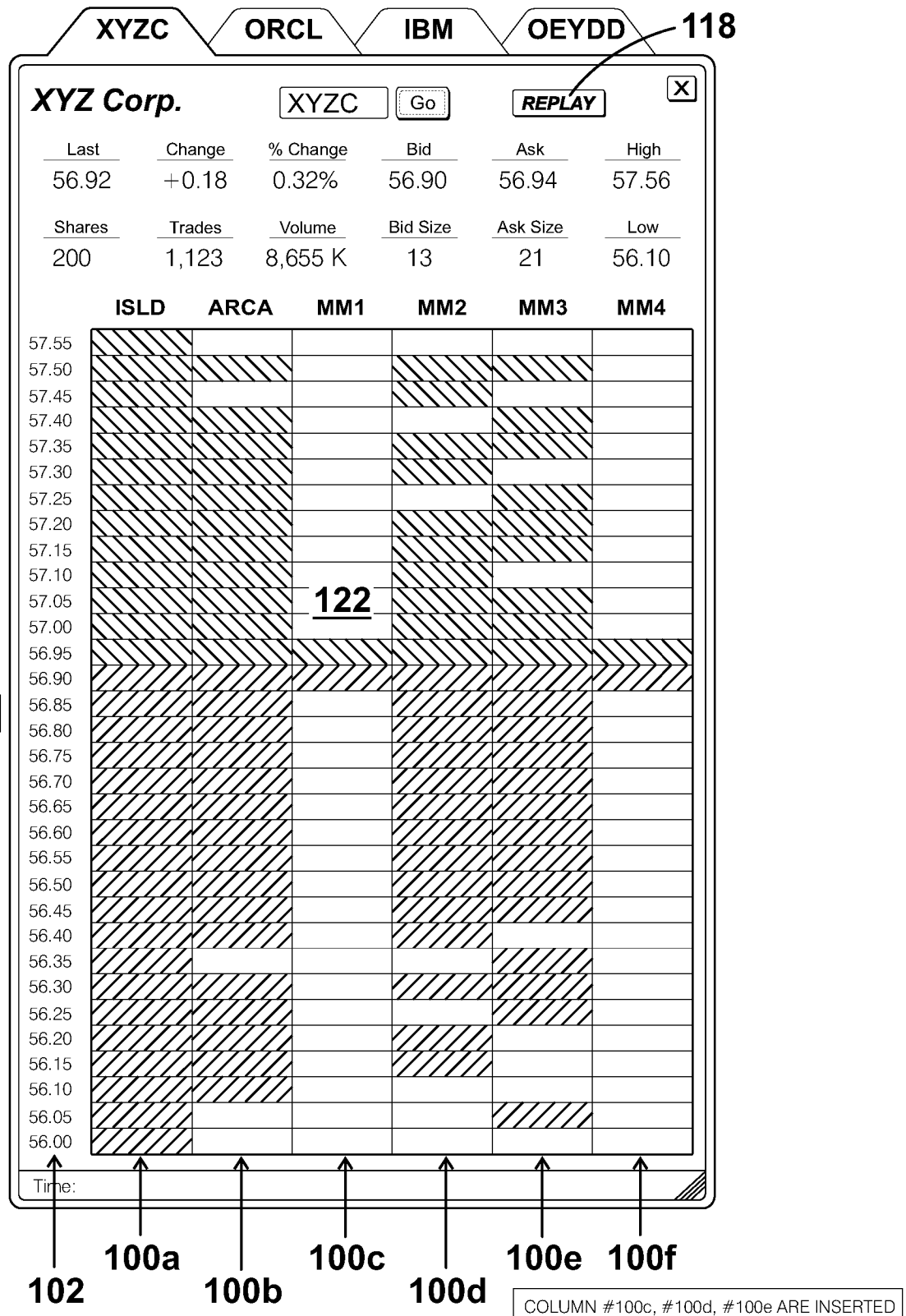
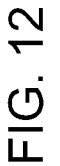
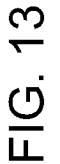


FIG. 11







12/44

ADDED #135 TO ICON

132

135

Shares	Symbols	Company	Fill Price	Current Price	Share P/L	Profit/Loss
500	XYZC	XYZ Corporation	56.26	56.92	+ 0.66	\$ 330.00
450	LMNO	LMNO Group	64.12	65.03	+ 0.91	\$ 409.50
400	WXY	WXY Corporation	45.12	45.18	+ 0.06	\$ 24.00
300	KLM	KLM Manufacturing	26.15	27.01	+ 0.86	\$ 258.00
250	GHI	GHI Incorporated	13.56	13.01	- 0.55	- \$ 137.50
200	BCD	BCD Technologies	14.23	15.52	+ 1.29	\$ 258.00

136

FIG. 14

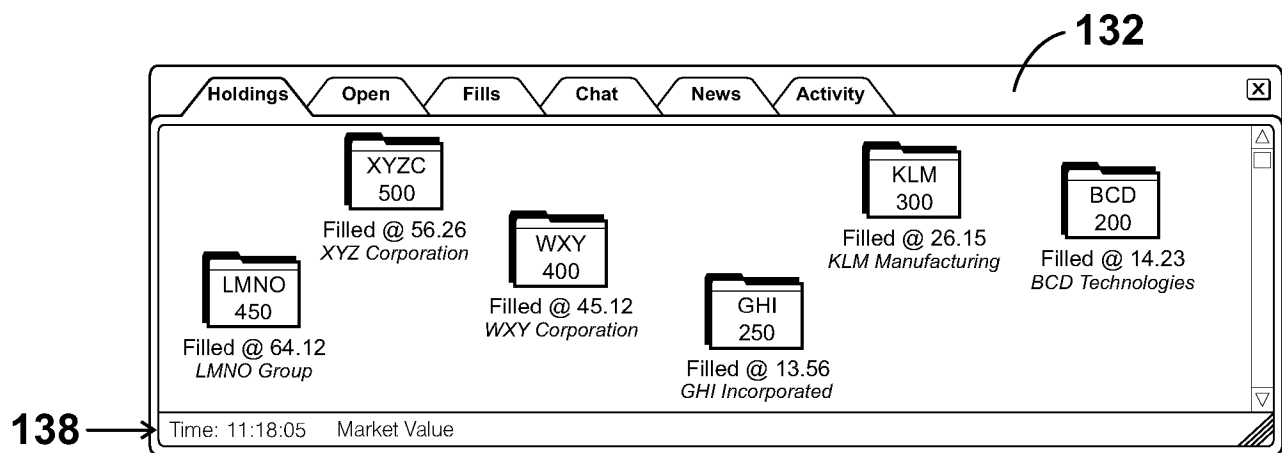


FIG. 15

13/44

LEAD LINE FOR #128  
CHANGED TO PARENTHESIS

128

XYZ Corp. ☒

Symbol	XYZC	Go	
Bid	56.90	Ask	56.94
Last	56.92	High	57.56
Change	+0.18	Low	56.10

Market Limit Stops Changes

Market  140

Shares

Price

Value: \$ 5,690

Buy Short

Sell Terms

148

144

150

142

FIG. 17

LEAD LINE FOR #128  
CHANGED TO PARENTHESIS

128

XYZ Corp. ☒

Symbol	XYZC	Go	
Bid	56.90	Ask	56.94
Last	56.92	High	57.56
Change	+0.18	Low	56.10

Market Limit Stops Changes

Market  172

Order Ref

New Shares

New Price

Submit

Terms

162

166

168

170

174

FIG. 19.1

Fig. 19.1 CHANGED TO FIG. 19

LEAD LINE FOR #128  
CHANGED TO PARENTHESIS

128

XYZ Corp. ☒

Symbol	XYZC	Go	
Bid	56.90	Ask	56.94
Last	56.92	High	57.56
Change	+0.18	Low	56.10

Market Limit Stops Changes

Market  146

Shares

Value: \$ 5,690

Buy Short

Sell

127

144

142

FIG. 16

LEAD LINE FOR #128  
CHANGED TO PARENTHESIS

128

XYZ Corp. ☒

Symbol	XYZC	Go	
Bid	56.90	Ask	56.94
Last	56.92	High	57.56
Change	+0.18	Low	56.10

Market Limit Stops Changes

Order

Stop Price

Limit Price

Stop Buy Limit

Stop Buy

Stop Loss

Stop Loss Limit

152

154

156

160

158

FIG. 18

ARROWHEAD INSERTED IN  
#166, #168, #170 LEADLINES

14/44

ADDED #177 TO ICON

**176**

**132**

**177**

Change	Type	Symbol	Quantity	Price	Good Till	Time Entered	Order Ref
<input type="checkbox"/> CHG	BUY	XYZC	200	56.90	02/12/2001	11:15:23	D43612
<input type="checkbox"/> CHG	SHORT	LMNO	100	65.02	02/12/2001	10:29:56	D42997
<input type="checkbox"/> CHG	BUY	KLM	100	26.98	02/12/2001	02/12/2001	D42967
<input type="checkbox"/> CHG	BUY	XYZC	100	56.98	02/12/2001	10:05:48	D42876
<input type="checkbox"/> CHG	SELL	BCD	100	15.48	02/16/2001	09:56:21	D42780
<input type="checkbox"/> CHG	BUY	WXY	100	45.23	02/12/2001	09:45:01	D42654

**178**

FIG. 20

**176**

**132**

XYZC  
200

Buy @ 56.90  
XYZ Corporation

LMNO  
100

Short @ 65.02  
LMNO Group

KLM  
100

Buy @ 26.98  
KLM Manufacturing

XYZC  
100

Buy @ 56.98  
XYZ Corporation

BCD  
100

Sell @ 15.48  
BCD Technologies

WXY  
100

Buy @ 45.23  
WXY Corporation

Time: 11:18:05

FIG. 21

16/44

ARROWHEAD INSERTED IN THE #190 LEADLINE

188

130

190

Cash Replay Options

Option Symbol OEYDD BUY

Specification OPENING

Order Type LIMIT

Contracts 10

Price 1.70

192

Submit

OEX April 620 Calls  
S & P 100 Index

FIG. 24

258

256

118

260

119

#118 INSERTED IN THE REPLAY BUTTON

#119 ADDED

Cash Replay Options

Symbol XYZC

Display Options

☒ Center Activity

☐ Show Bid/Ask

☐ Show Trades

From Date 02/12/2001 Time 11:00:00 AM

To Date 02/12/2001 Time 12:00:00 PM

Complete Animation In Minutes

Replay Cancel

FIG. 39

ARROWHEAD INSERTED IN THE #134 LEADLINE

134

110

264

262

Position Guide Settings

Symbol PG 200

☒ Invest % of Portfolio

☐ Invest % of Buying Power

AND NO MORE THAN

\$ In each Stock

\$ In each Option

Round Quantity UP

Advanced Settings

MAXIMUM POSITION IS 2,000 SHARES

Position Guide Recommends 200 Shares

#110 INSERTED INSIDE THE WINDOW

FIG. 40

300

Special Terms Settings

☐ No Special Terms

☐ All or None

☒ Minimum Quantity 200

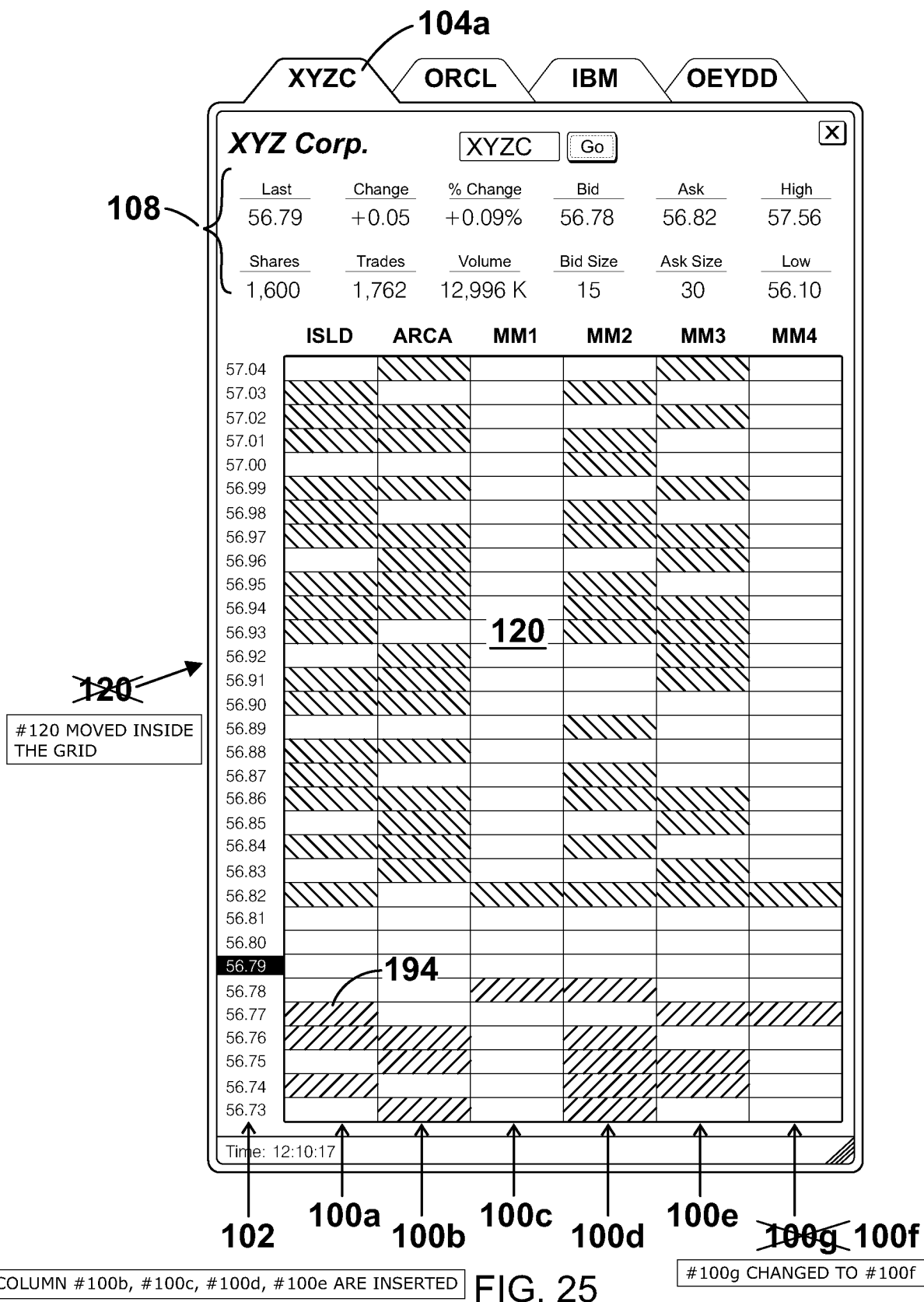
☒ Good Till Fill, 10 DAYS

☐ Other Terms

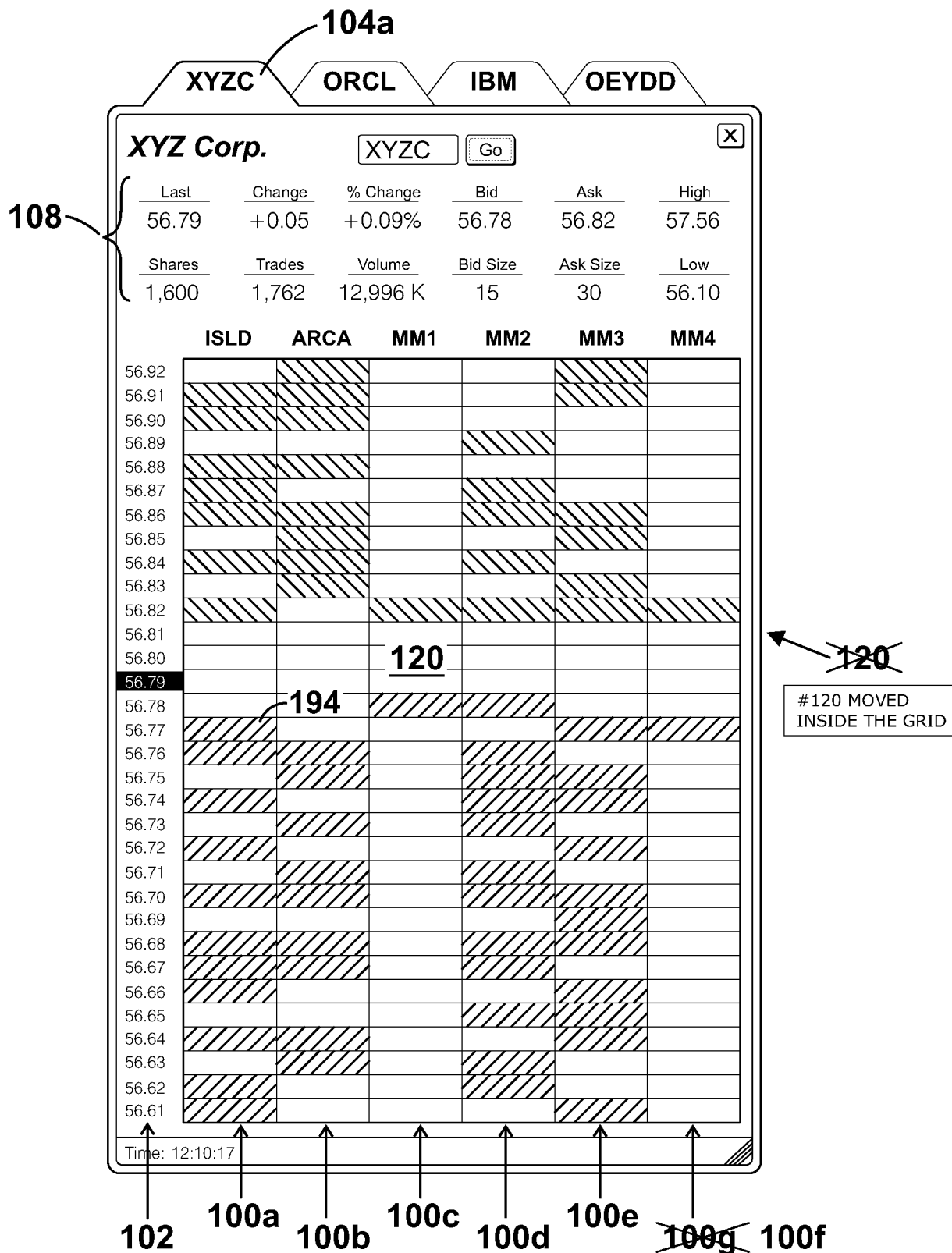
Cancel Submit

FIG. 43

17/44



18/44





19/44

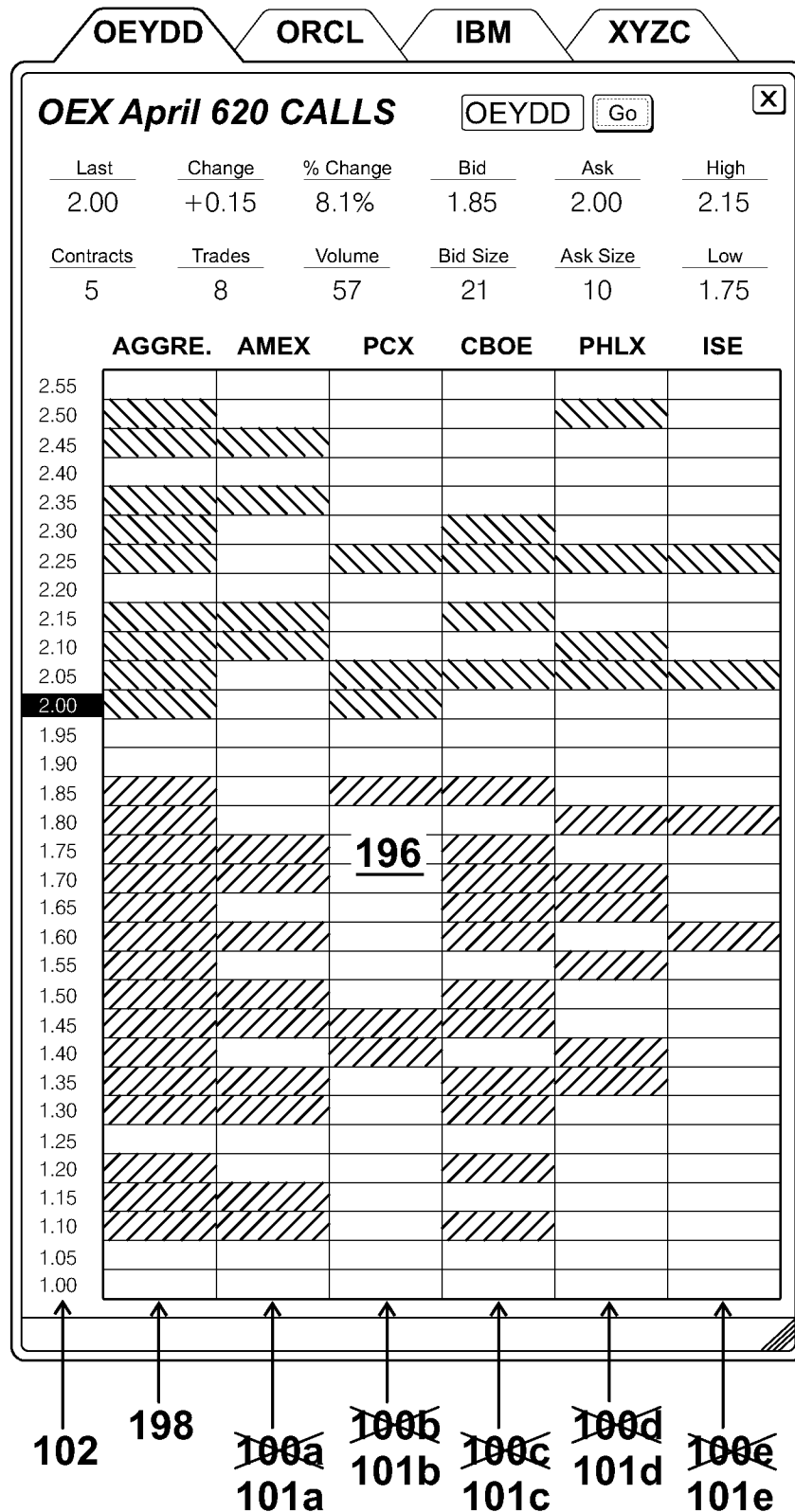
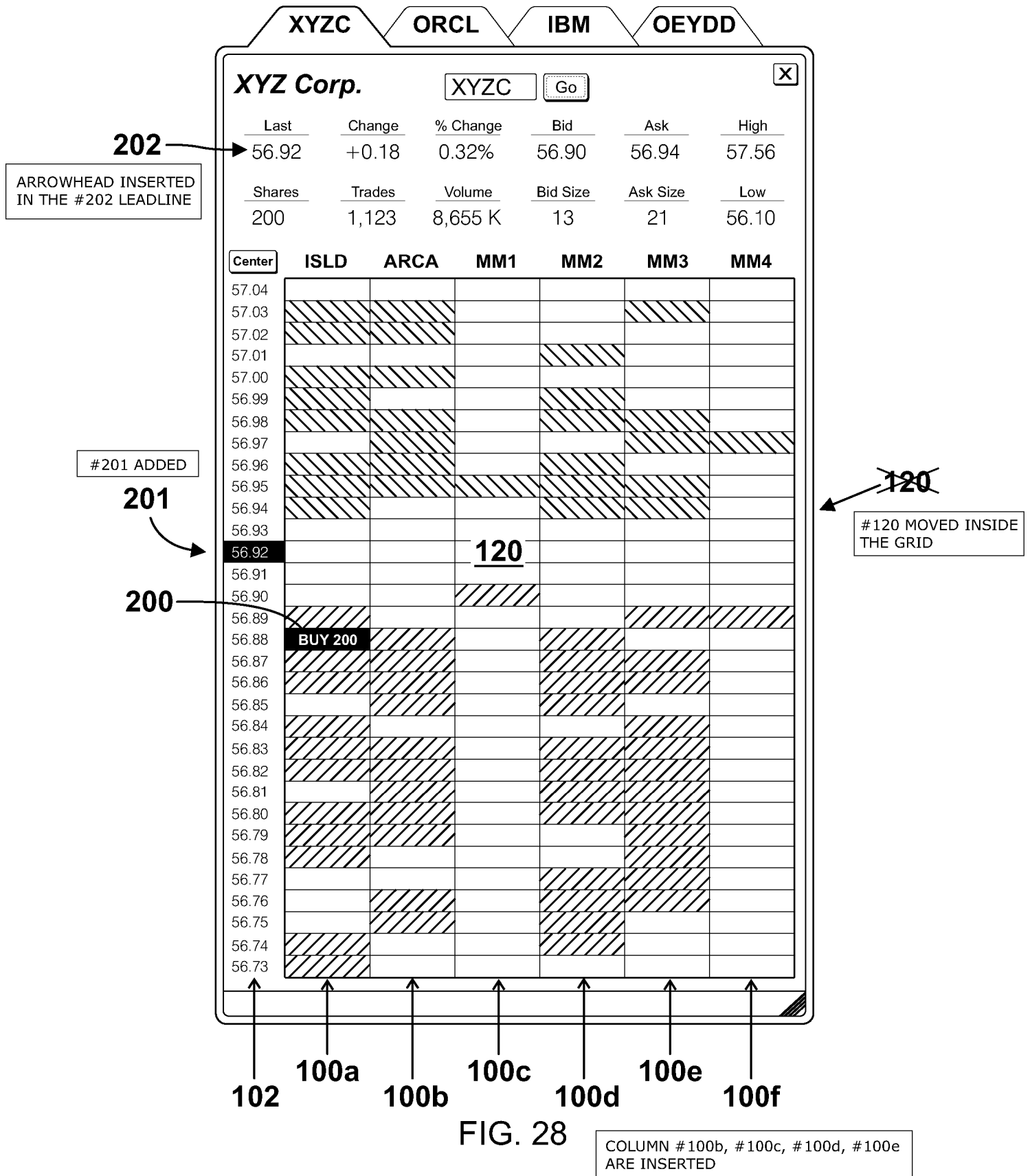


FIG. 27

#100a, #100b, #100c, #100d, #100e  
CHANGED TO  
#101a, #101b, #101c, #101d, #101e

20/44



21/44

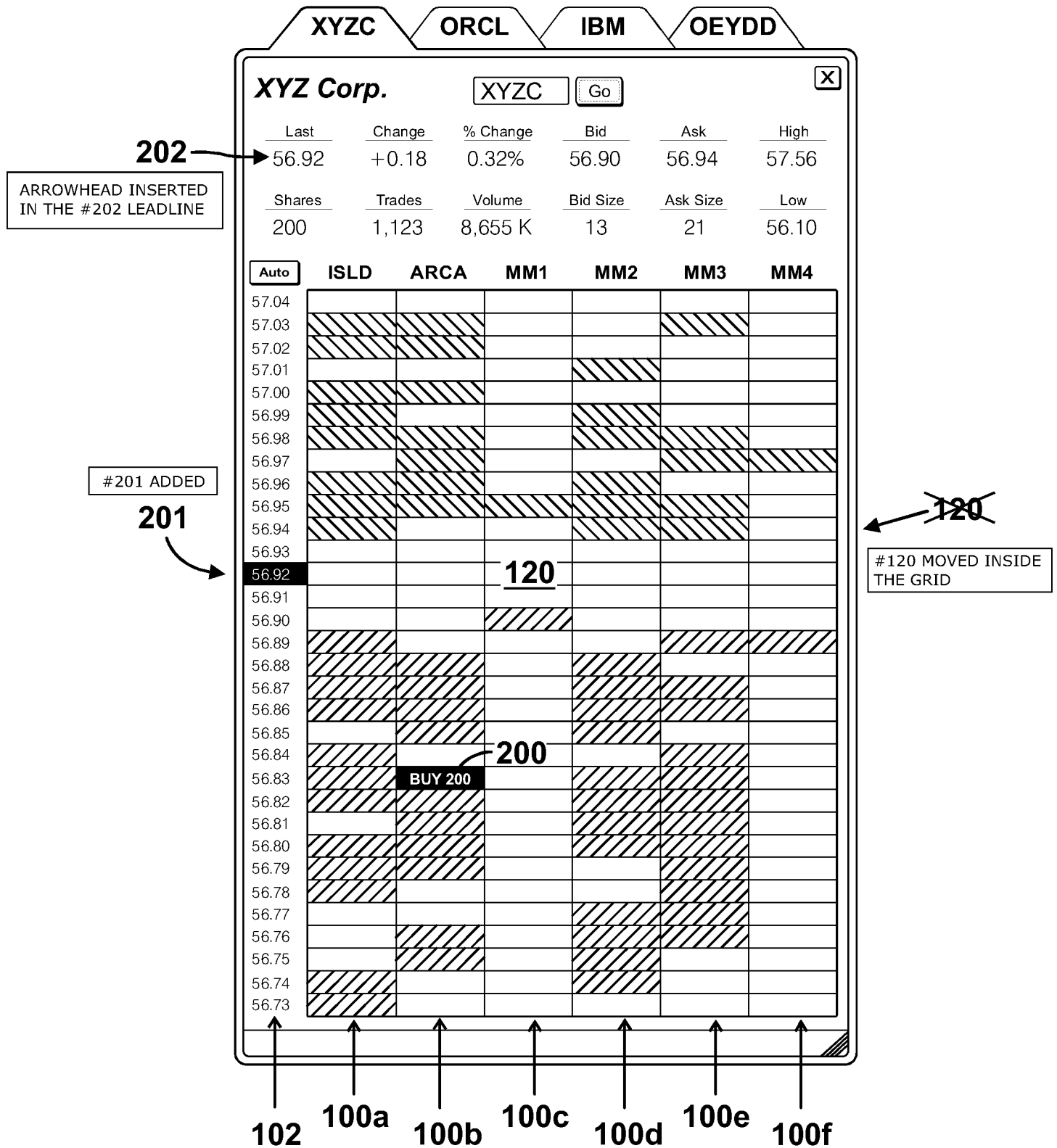


FIG. 29

COLUMN #100a, #100c, #100d,  
#100e, #100f ARE INSERTED

22/44

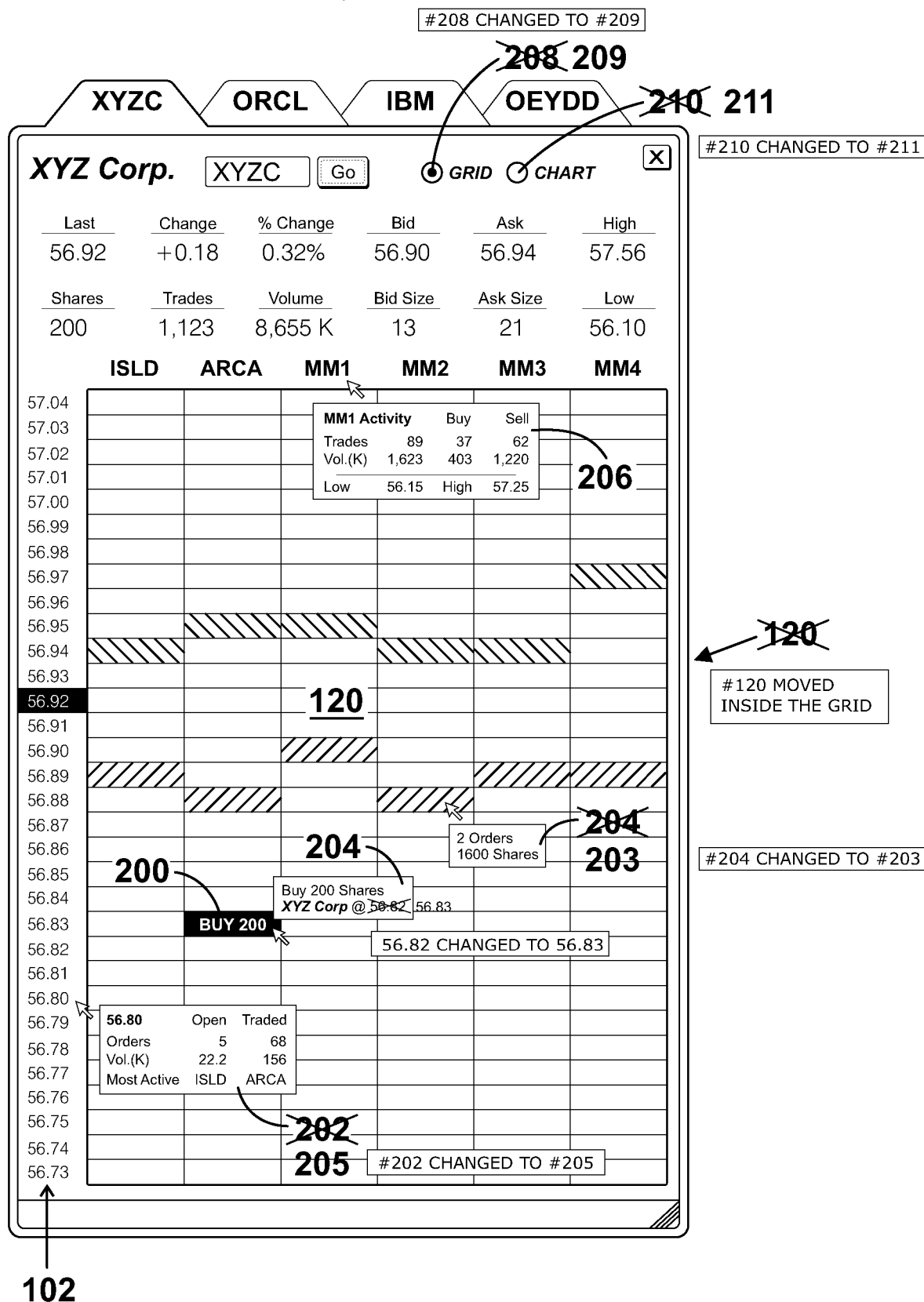


FIG. 30

23/44

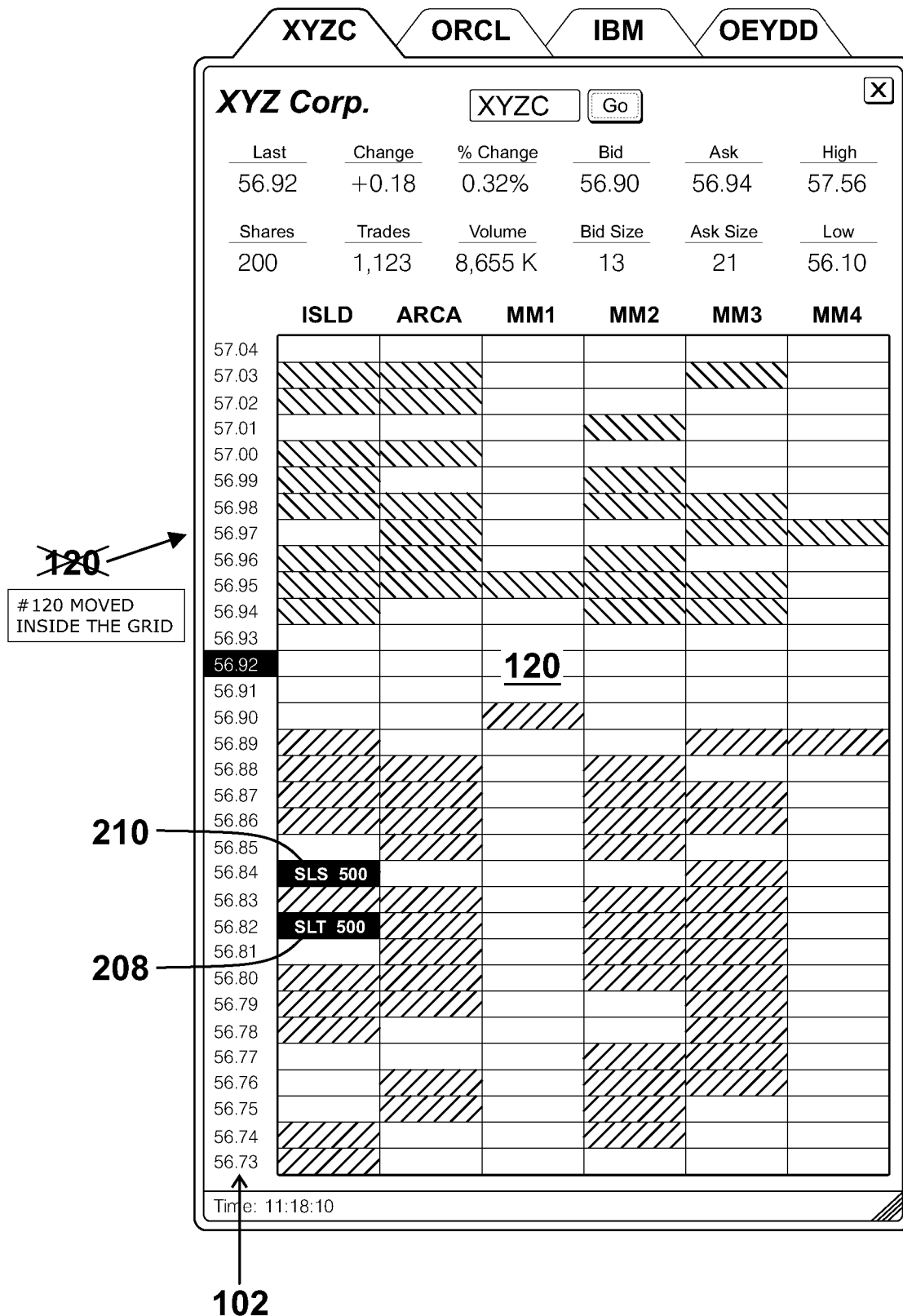


FIG. 31

24/44

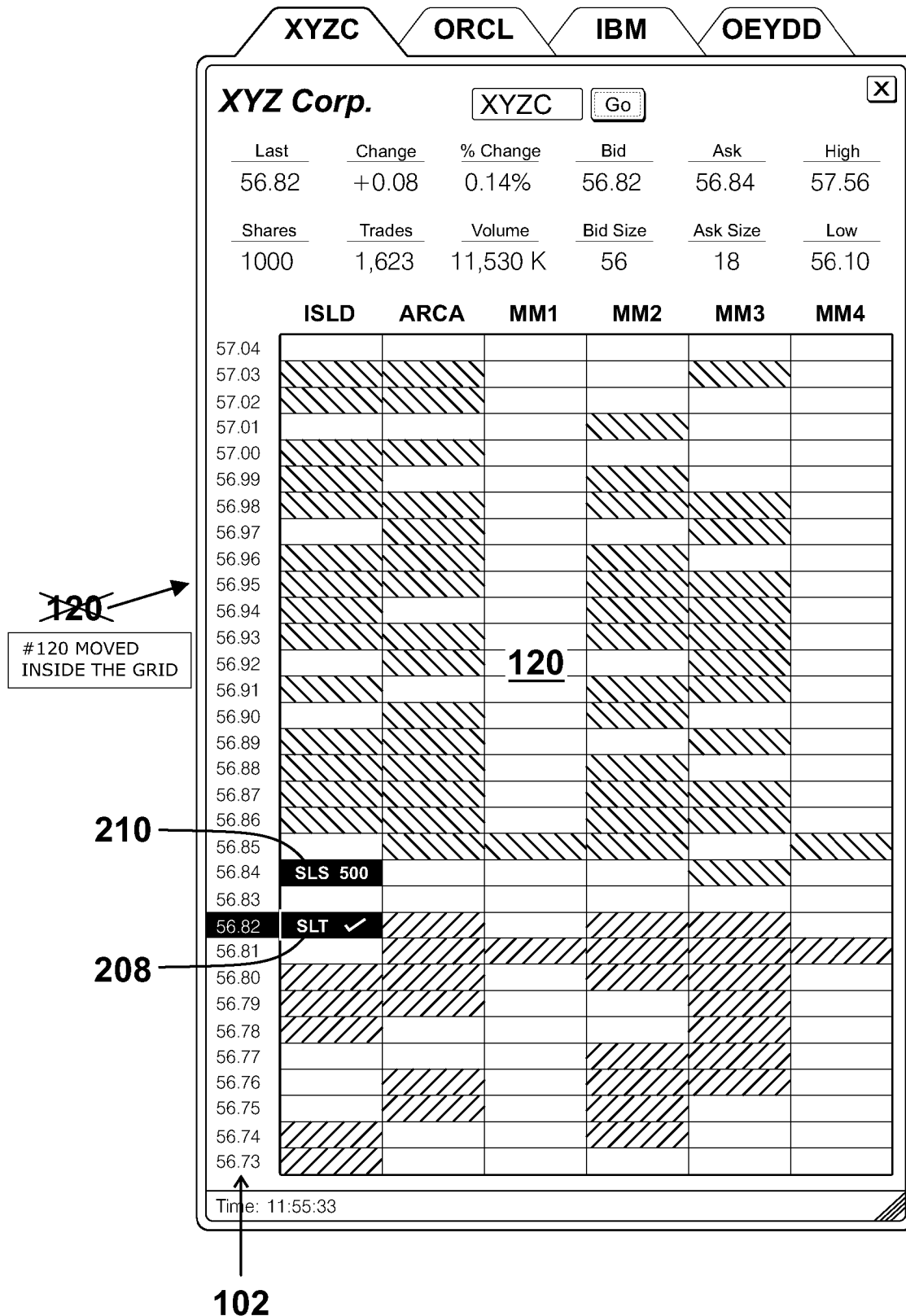
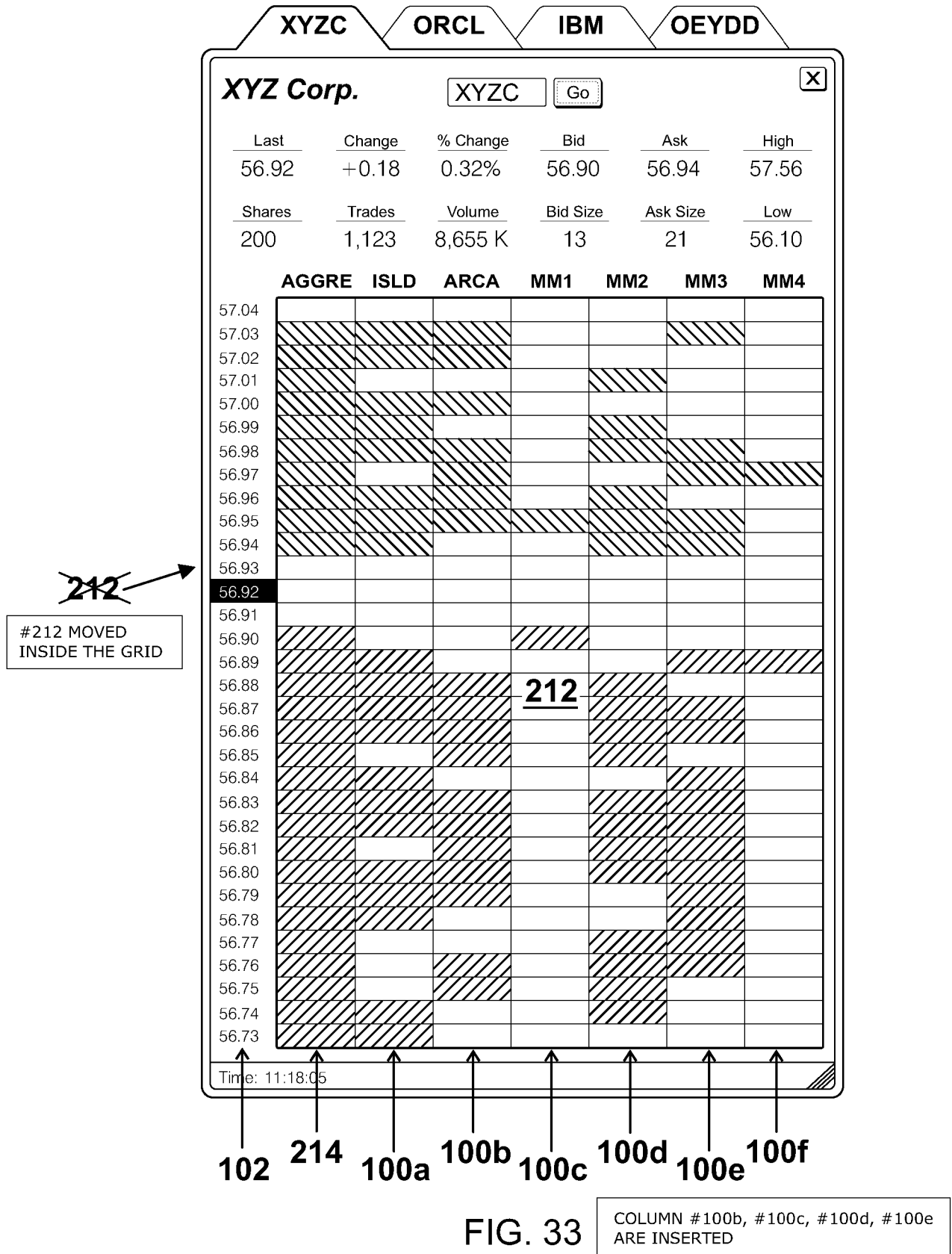


FIG. 32

25/44



26/44

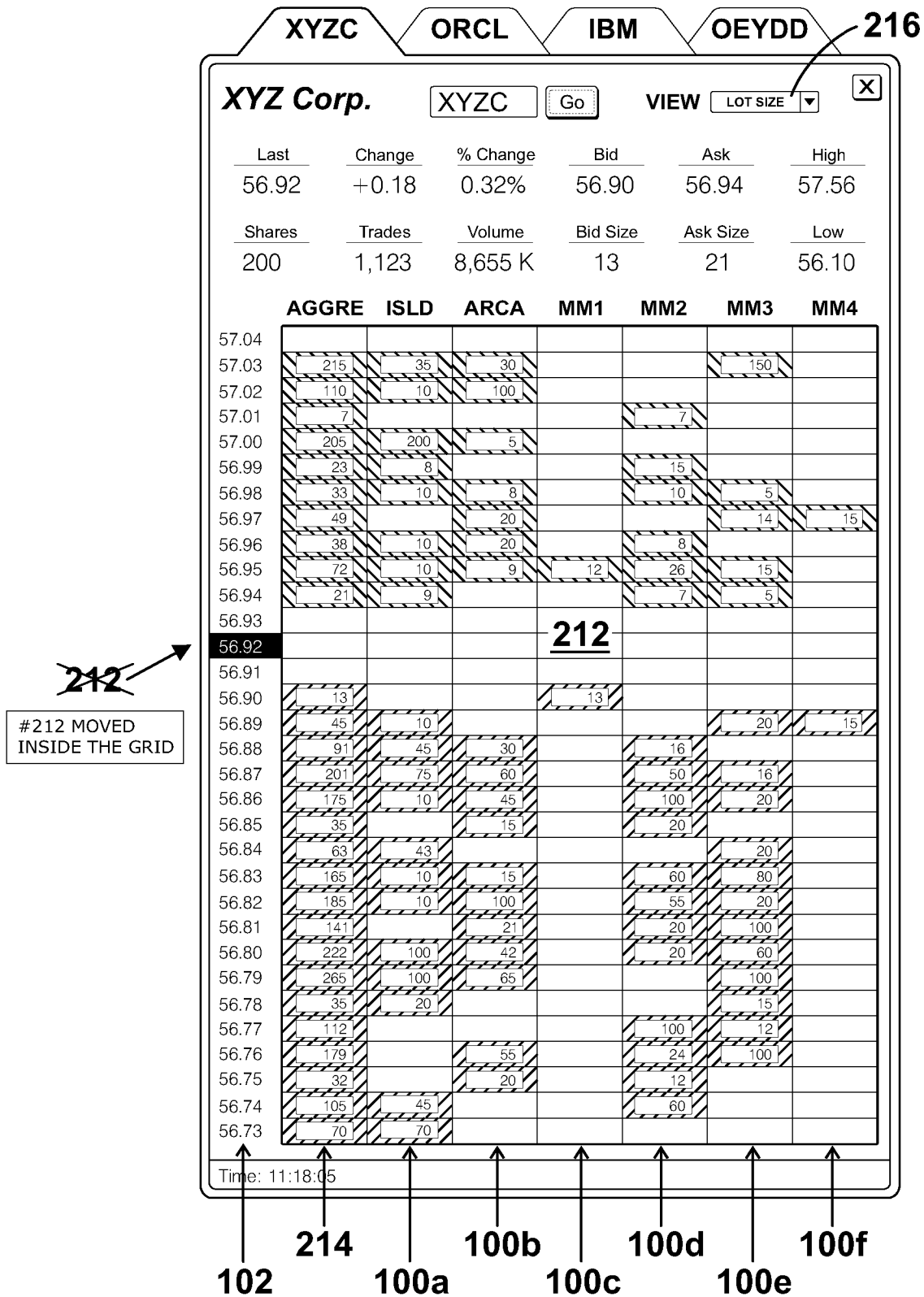


FIG. 34

COLUMN #100b, #100c, #100d, #100e  
ARE INSERTED



27/44

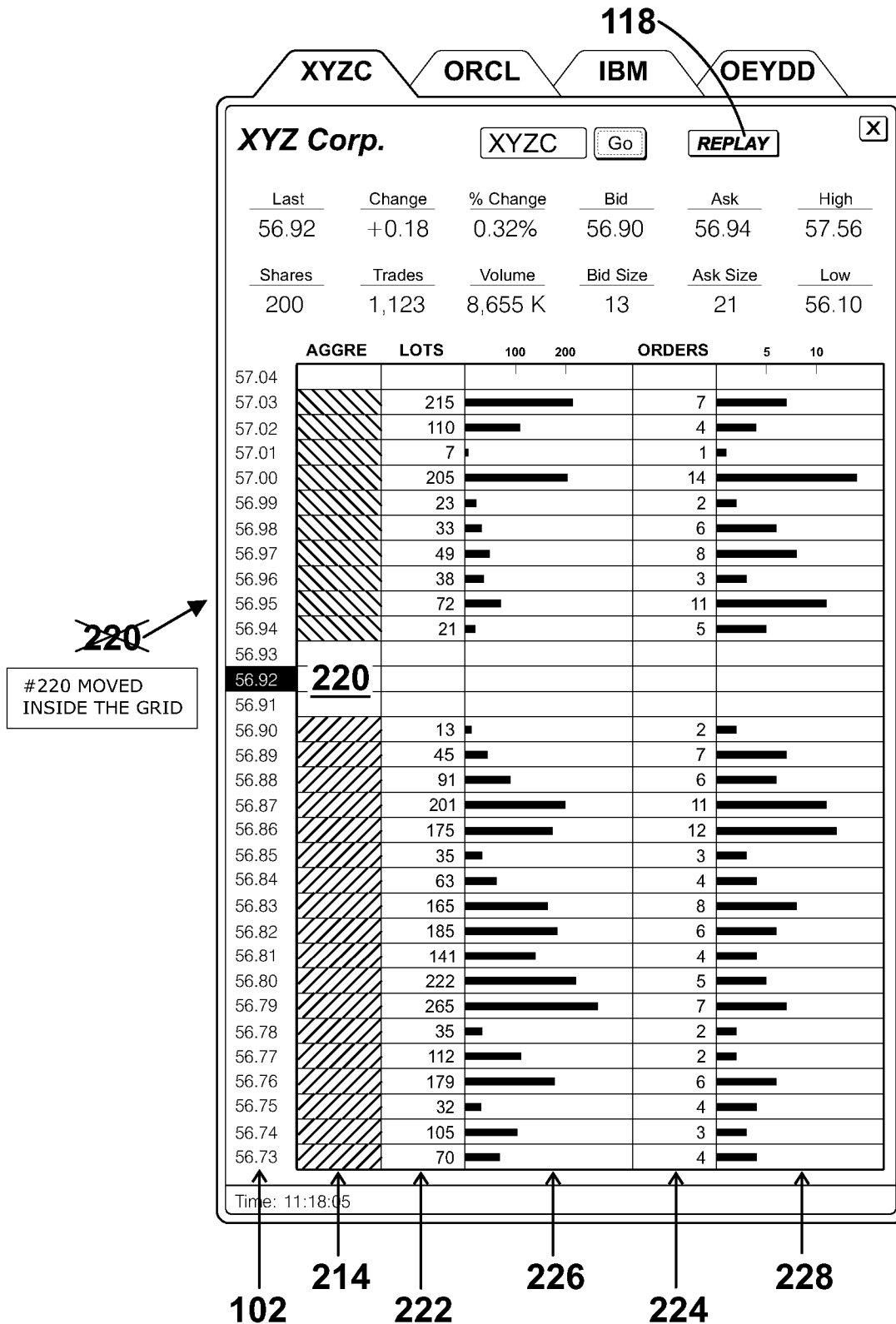


FIG. 35

28/44

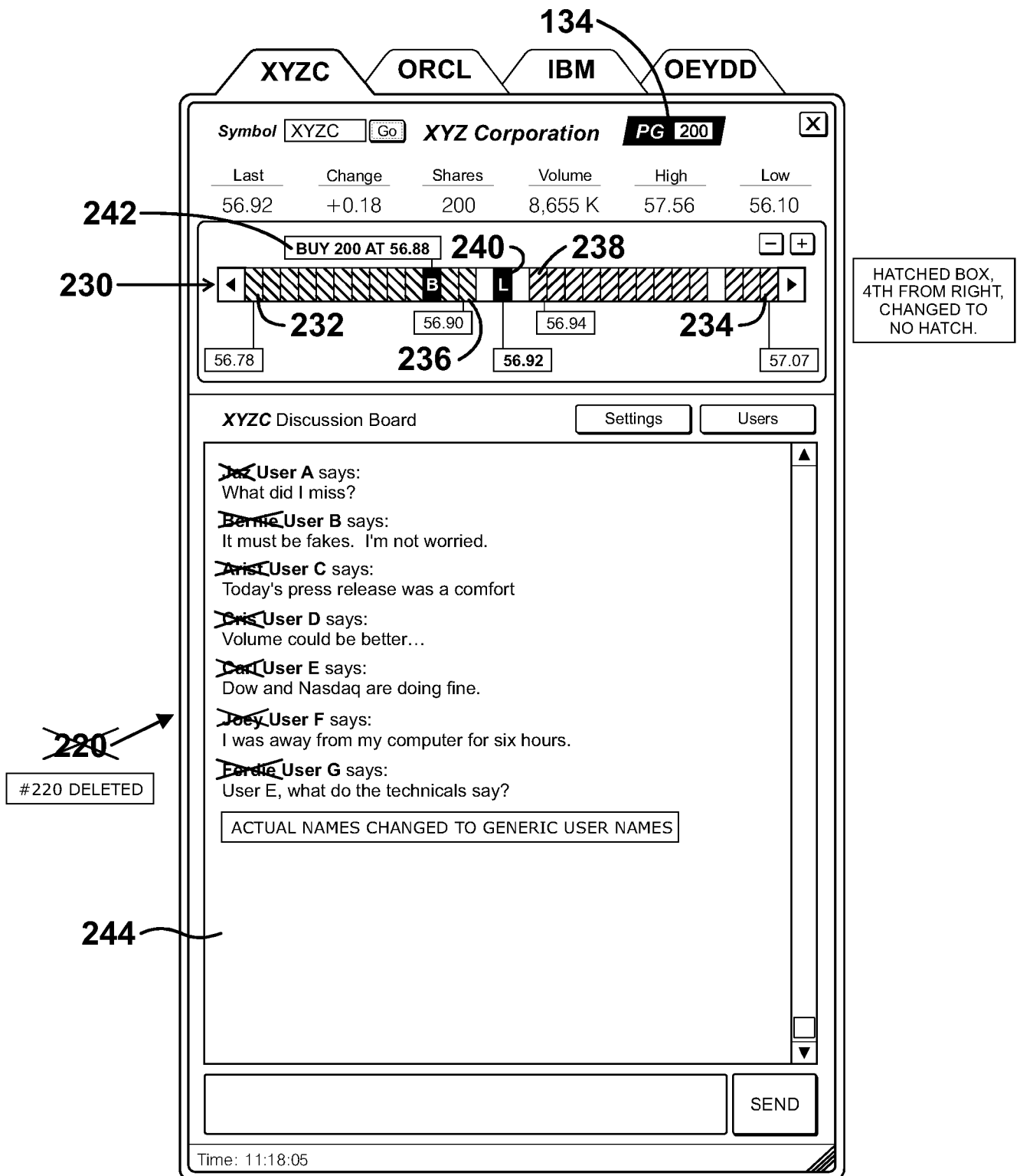
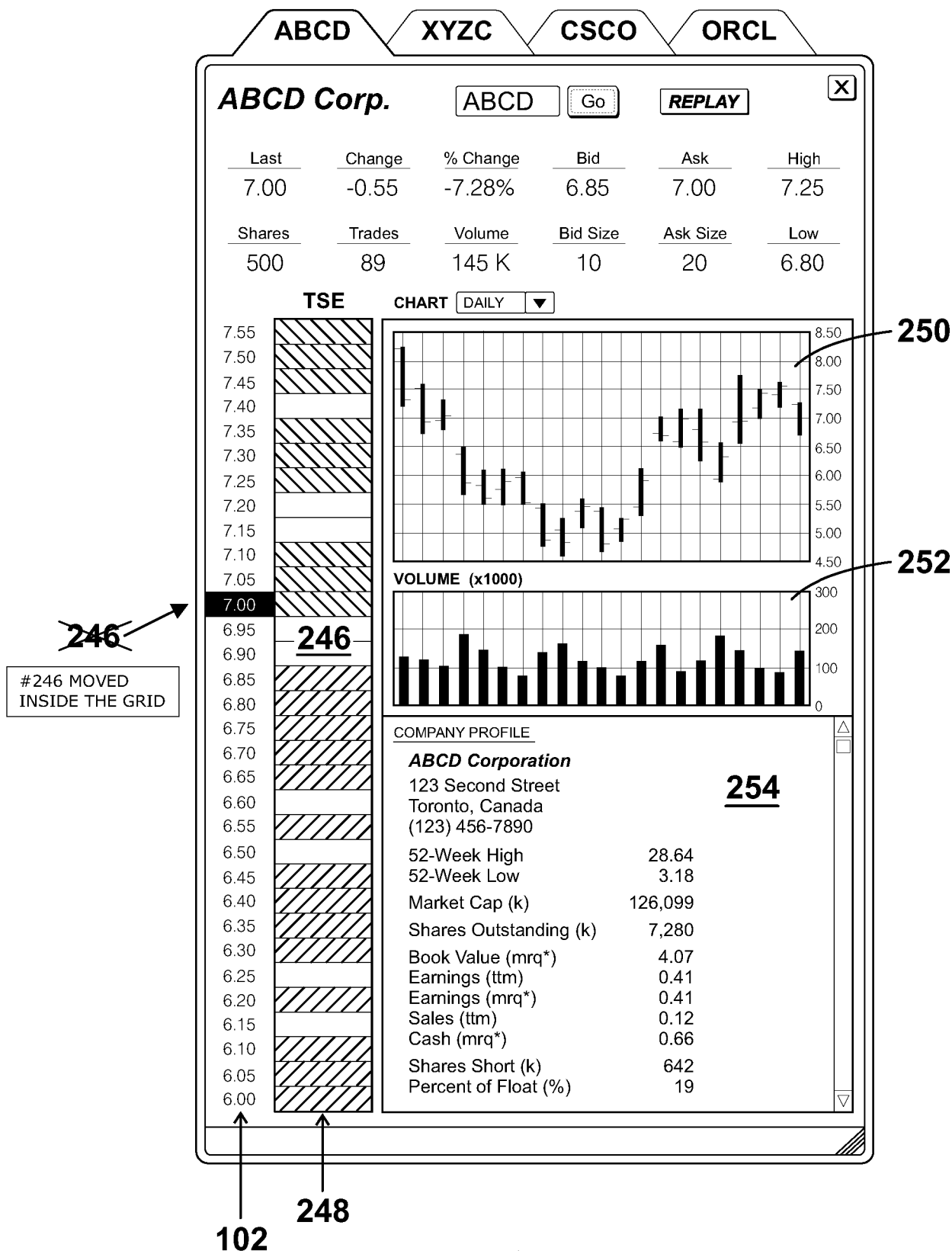


FIG. 36

29/44



30/44

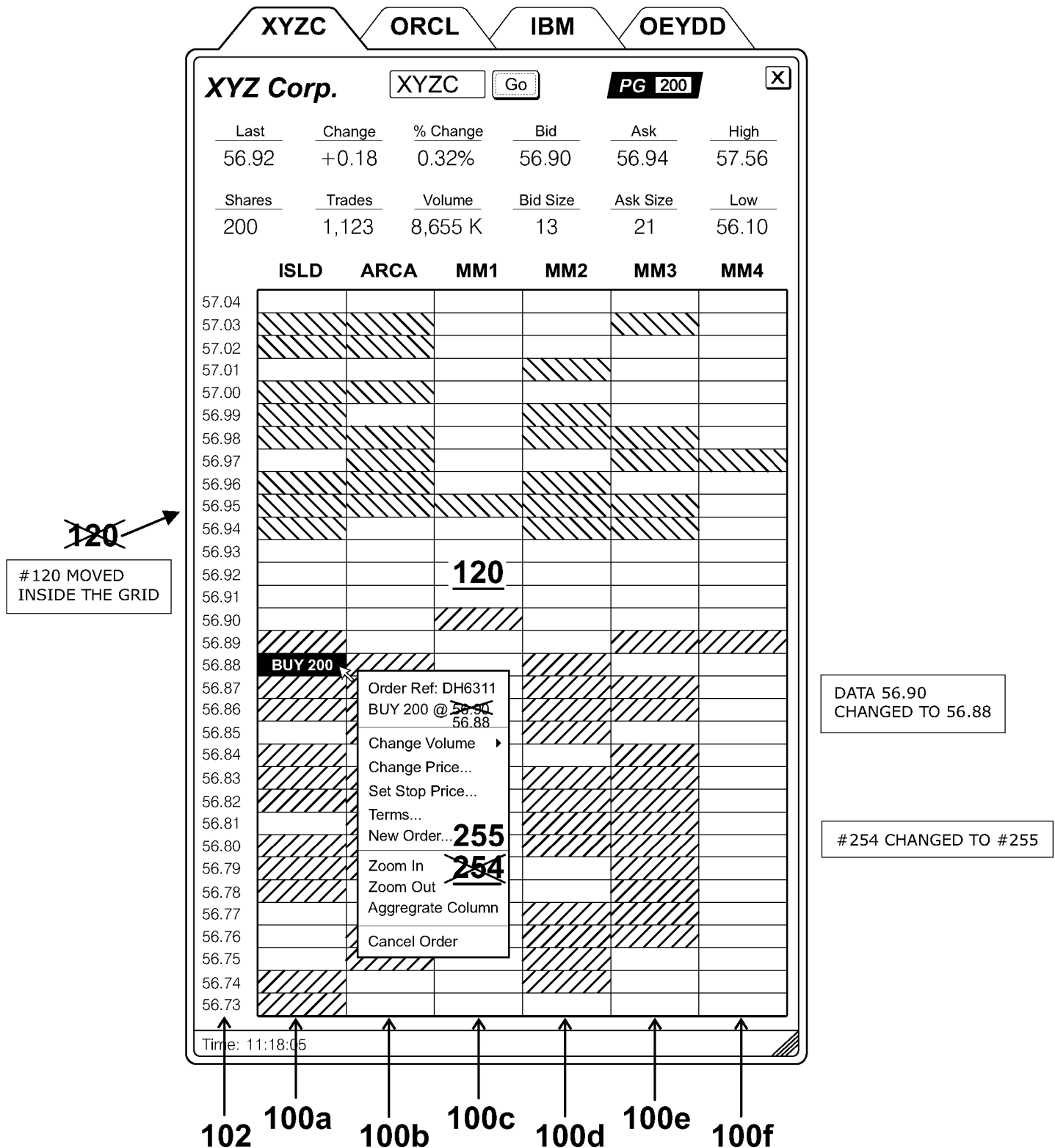


FIG. 38



32/44

XYZC
ORCL
IBM
OEYDD

**XYZ Corp.**

LEVEL II

<u>Last</u>	<u>Change</u>	<u>% Change</u>	<u>Bid</u>	<u>Ask</u>	<u>High</u>
56.92	+0.18	0.32%	56.90	56.94	57.56
<u>Shares</u>	<u>Trades</u>	<u>Volume</u>	<u>Bid Size</u>	<u>Ask Size</u>	<u>Low</u>
200	1,123	8,655 K	13	21	56.10

Inside  Size

Inside  Size

MMID	Bid	Size	MMID	Ask	Size
MM1	56.90	13	ISLD	56.94	9
MM3	56.89	20	ARCA	56.94	5
MM4	56.89	15	MM2	56.94	4
ISLD	56.89	10	MM3	56.94	3
ISLD	56.88	45	MM2	56.95	26
ARCA	56.88	30	MM3	56.95	15
MM2	56.88	16	MM1	56.95	12
ISLD	56.87	75	ISLD	56.95	10
ARCA	56.87	60	ARCA	56.95	9
MM2	56.87	50	ARCA	56.96	20
MM3	56.87	16	ISLD	56.96	10
MM2	56.86	100	MM2	56.96	8
ARCA	56.86	45	ARCA	56.97	20
MM3	56.86	20	MM4	56.97	15
ISLD	56.86	10	MM3	56.97	14
MM2	56.85	20	ISLD	56.98	10
ARCA	56.85	15	MM2	56.98	10
ISLD	56.84	43	ARCA	56.98	8
MM3	56.84	20	MM3	56.98	5
MM3	56.83	80	MM2	56.99	15
MM2	56.83	60	ISLD	56.99	8
ARCA	56.83	15	ISLD	57.00	200
ISLD	56.83	10	ARCA	57.00	5
ARCA	56.82	100	MM2	57.01	7
MM2	56.82	55	ARCA	57.02	100
MM3	56.82	20	ISLD	57.02	10
ISLD	56.82	10	MM3	57.03	150
MM3	56.81	100	ISLD	57.03	35
ARCA	56.81	21	ARCA	57.03	30
MM2	56.81	20	MM2	57.05	40
ISLD	56.80	100	ISLD	57.05	40
MM3	56.80	60	ARCA	57.05	12
ARCA	56.80	42	MM3	57.05	8
MM2	56.80	20	ARCA	57.06	5
ISLD	56.79	100	MM2	57.09	20
MM3	56.79	100	ISLD	57.09	10
ARCA	56.79	65	ARCA	57.09	10
(85 more)			(86 more)		

Time & Sales		
+	56.92	200
-	56.88	10
-	56.88	100
-	56.88	200
-	56.75	15
-	56.75	100
+	56.91	10
+	56.91	25
+	56.91	20
+	56.91	10
-	56.87	50
+	56.89	40
+	56.89	50
+	56.89	100
+	56.89	75
+	56.89	70
-	56.83	20
+	56.90	5
-	56.84	200
-	56.83	100
-	56.83	350
-- 11:17 --		
+	56.89	30
-	56.86	20
-	56.86	60
-	56.86	100
+	56.87	35
+	56.89	50
+	56.89	100
+	56.88	200
+	56.88	1000
-	56.87	250
+	56.91	100
-	56.90	300
+	56.91	350
-	56.85	100
-	56.85	30

☐ Last updated: 11:17:58

290

~~124~~

# 124 MOVED  
INSIDE THE GRID

FIG. 42

33/44

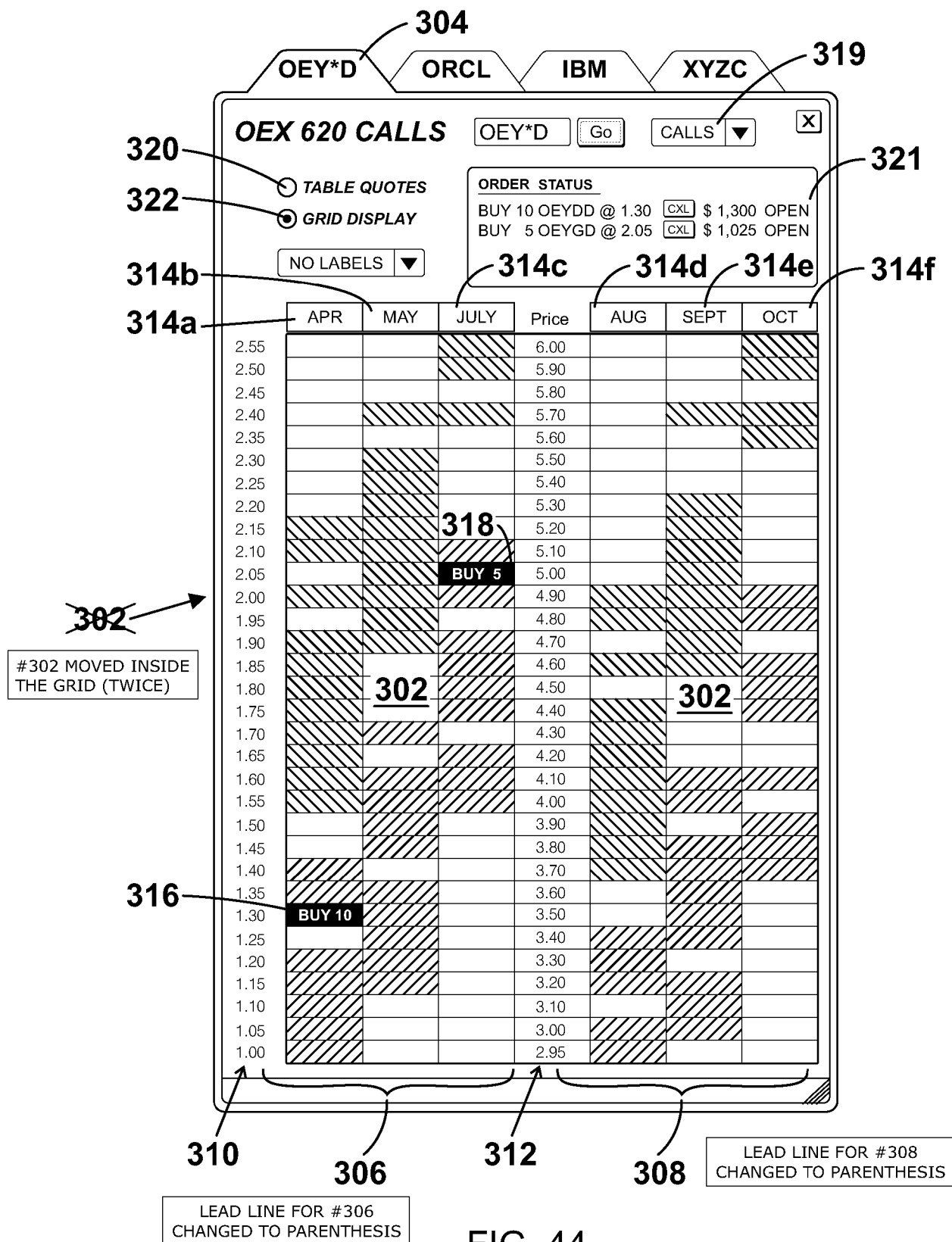


FIG. 44

34/44

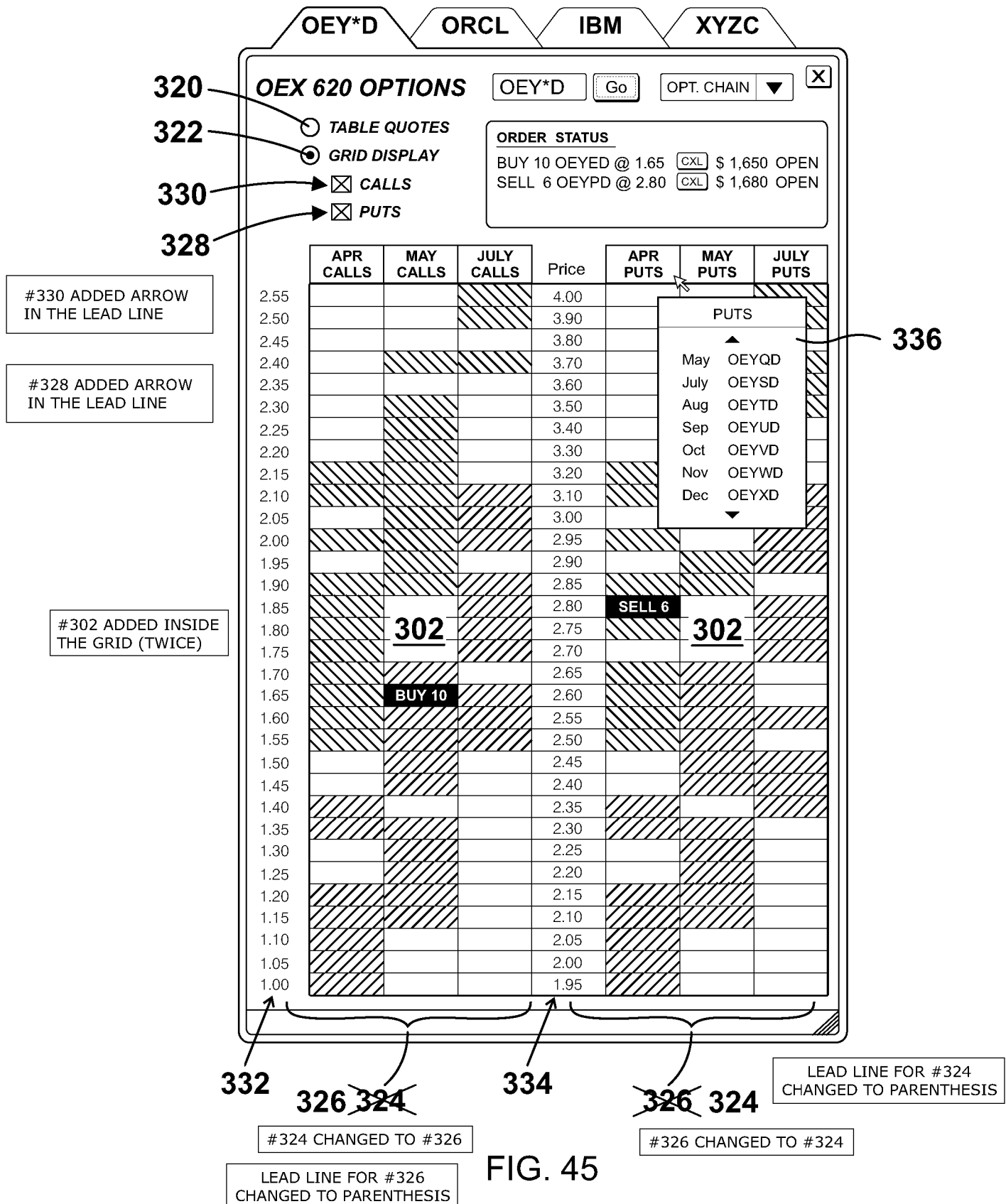


FIG. 45



35/44

#330 ADDED ARROW  
IN THE LEAD LINE

#328 ADDED ARROW  
IN THE LEAD LINE

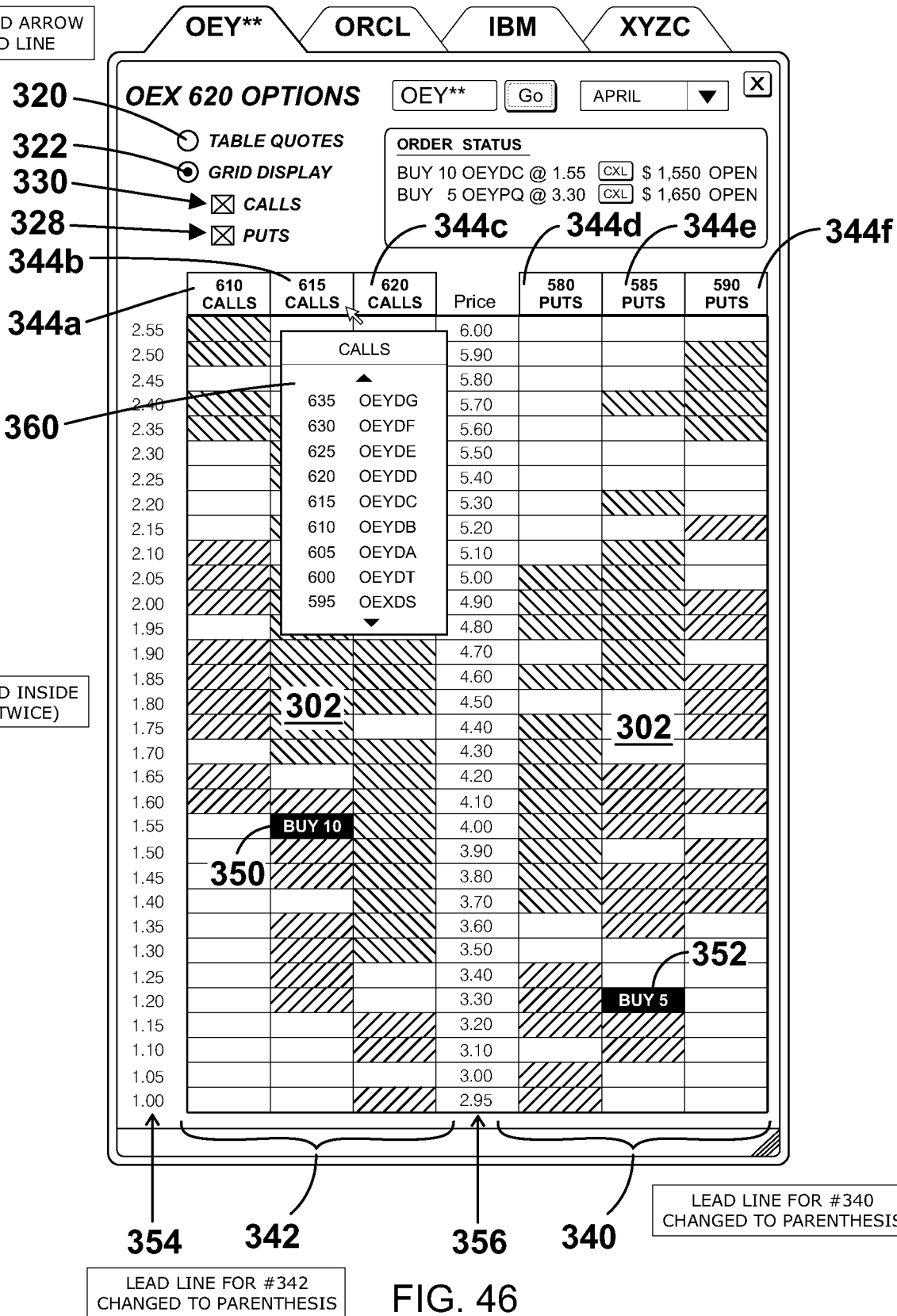


FIG. 46

36/44

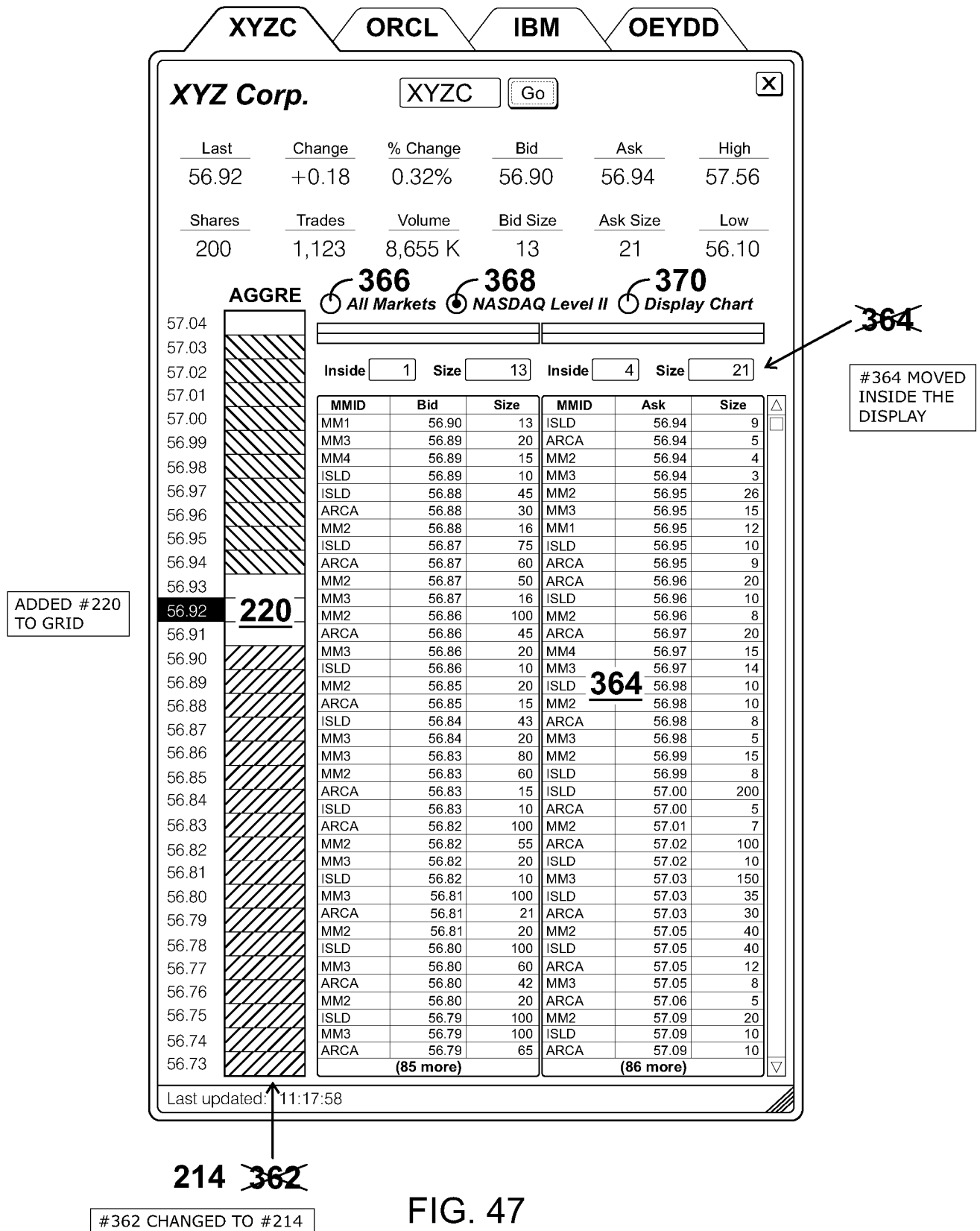


FIG. 47

37/44

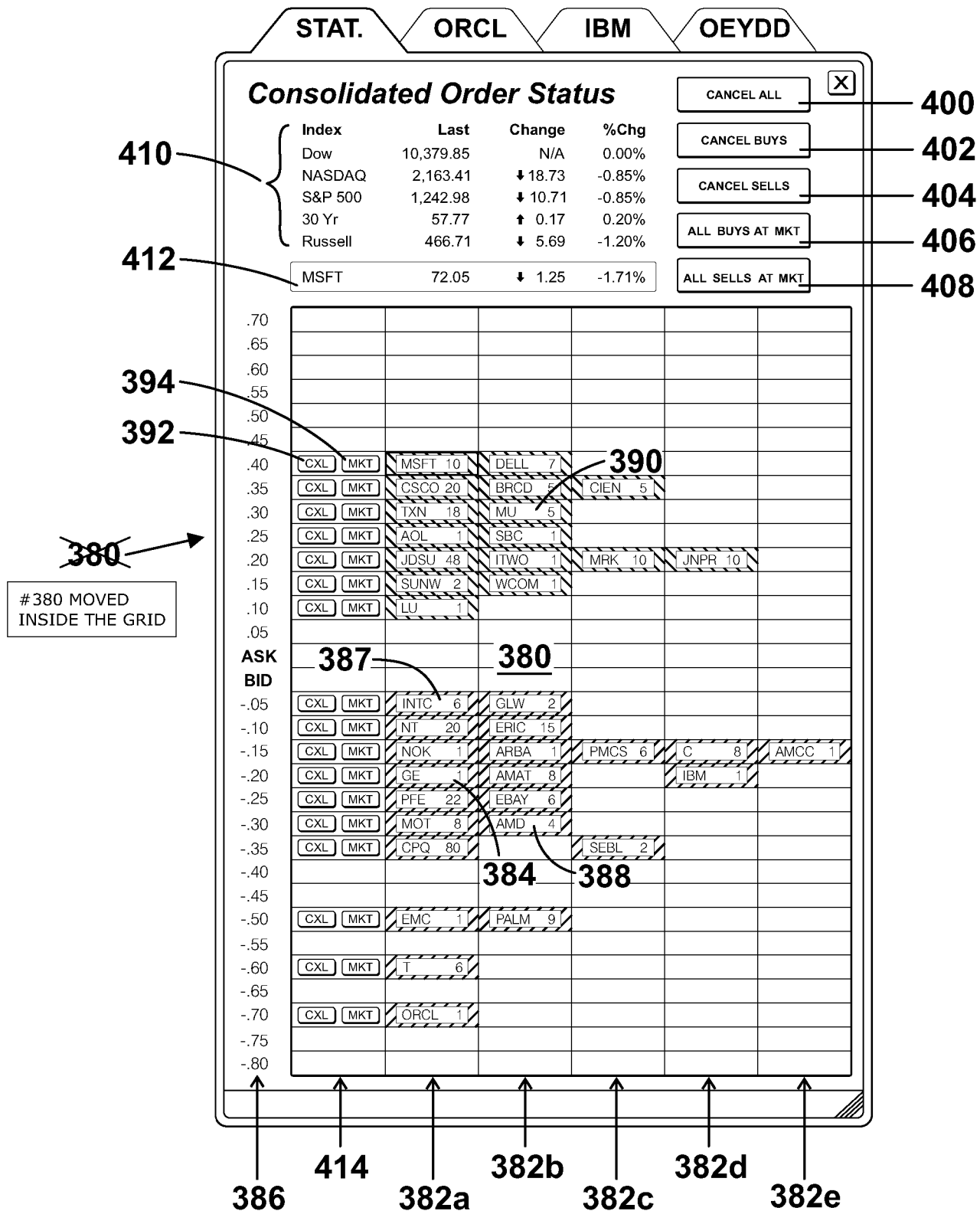


FIG. 48

COLUMN #382b, #382c, #382d  
ARE INSERTED

38/44

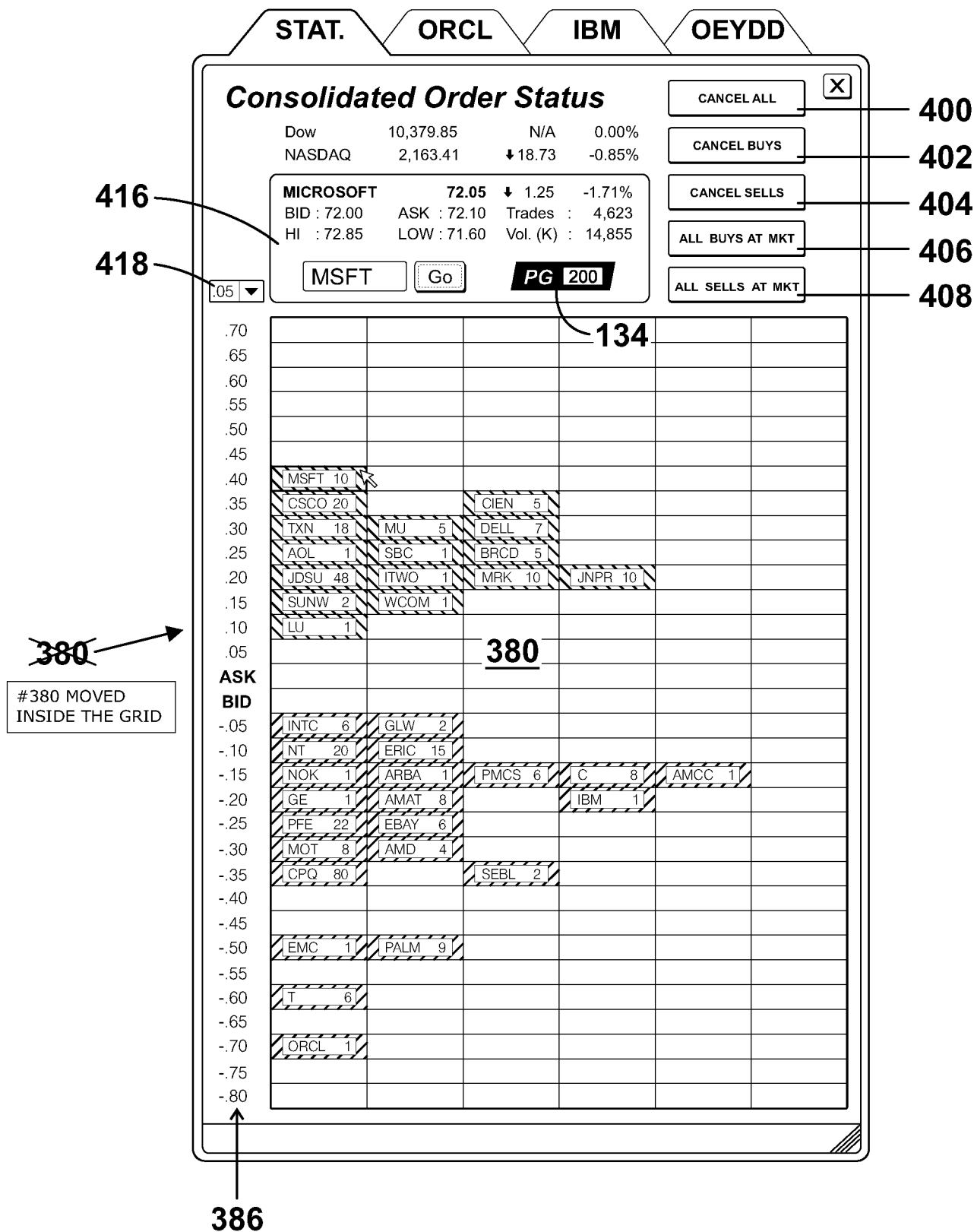


FIG. 49

39/44

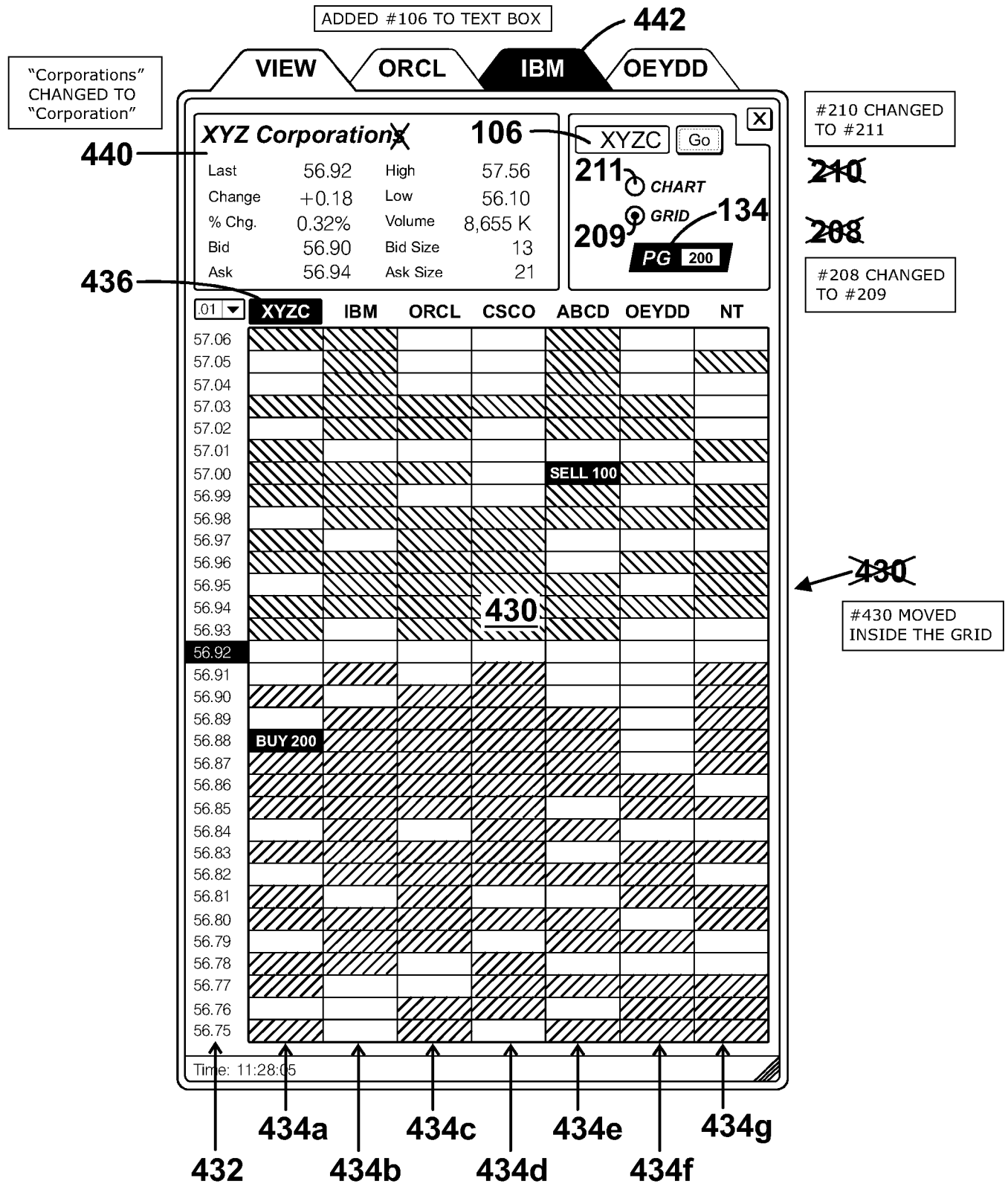


FIG. 50

40/44

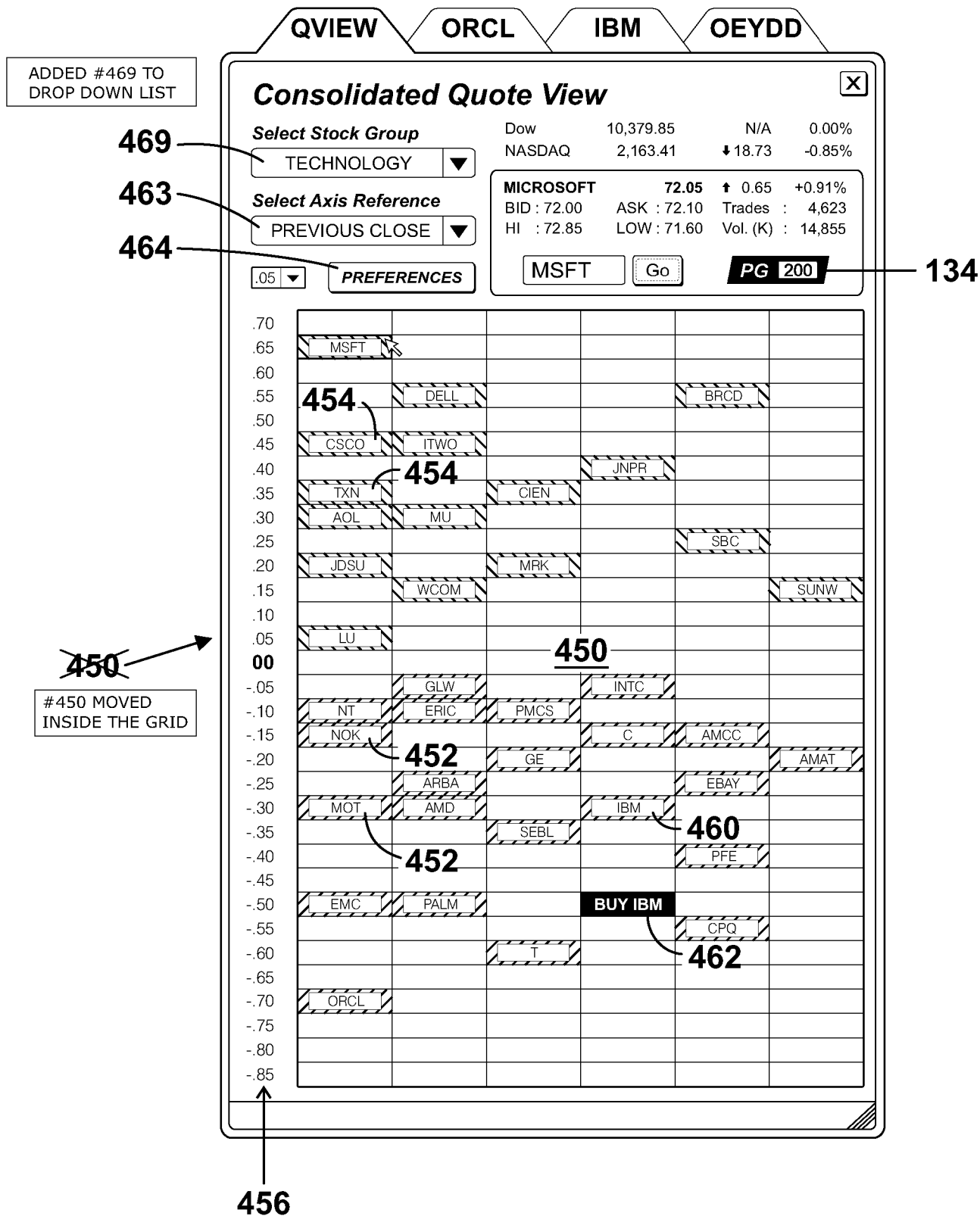


FIG. 51

41/44

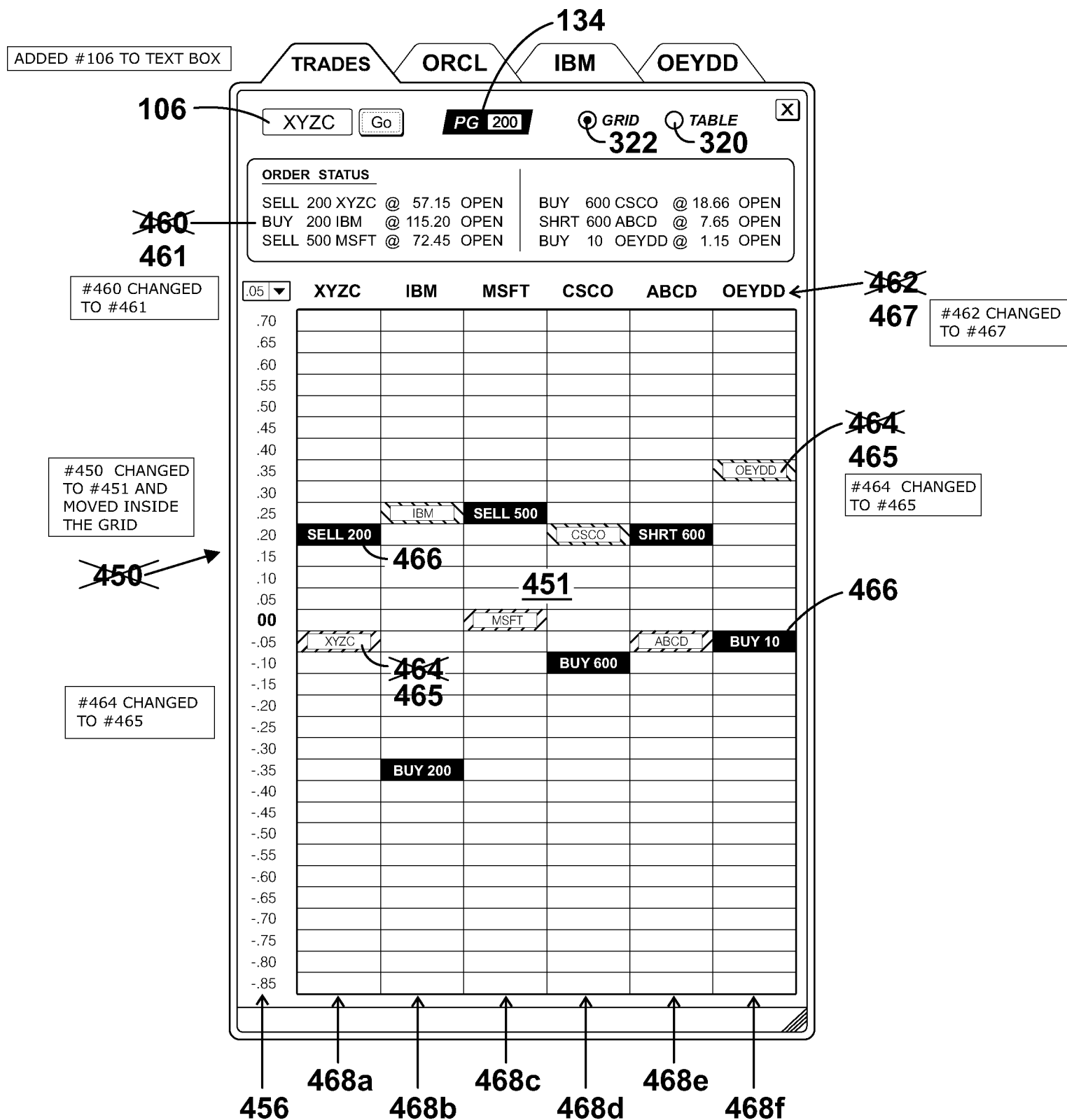


FIG. 52

COLUMN #468b, #468c, #468d, #468e  
ARE INSERTED

42/44

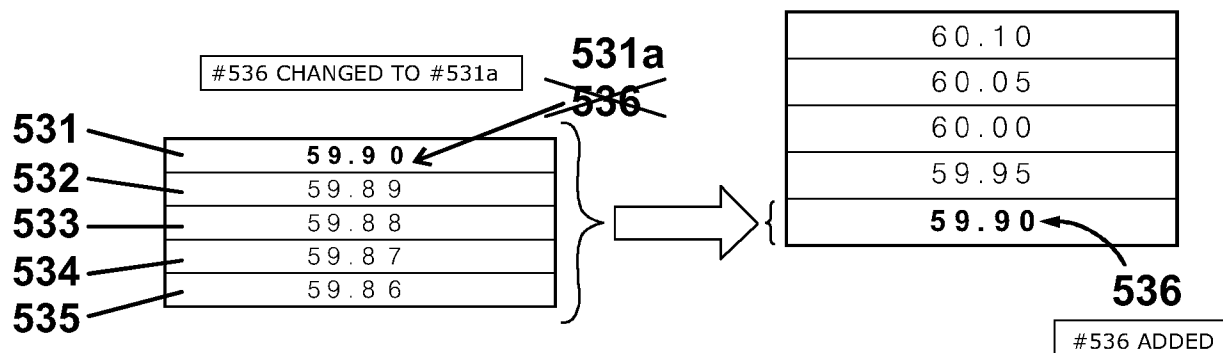


FIG. 53a

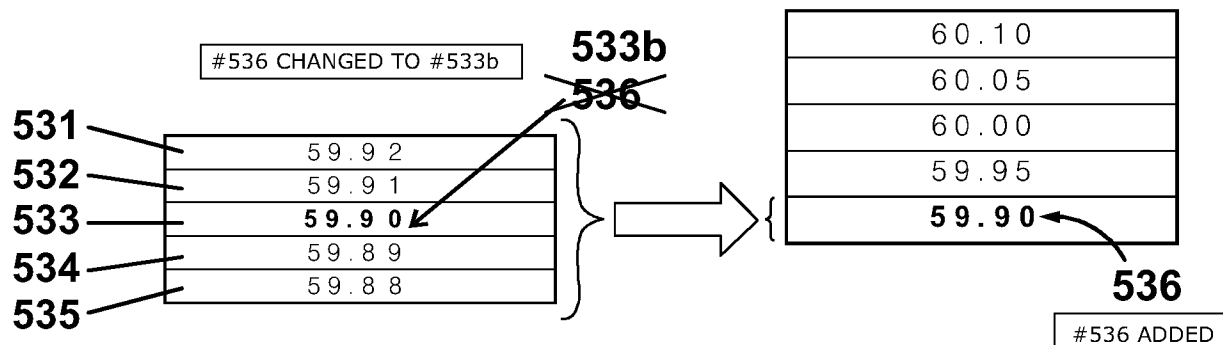


FIG. 53b

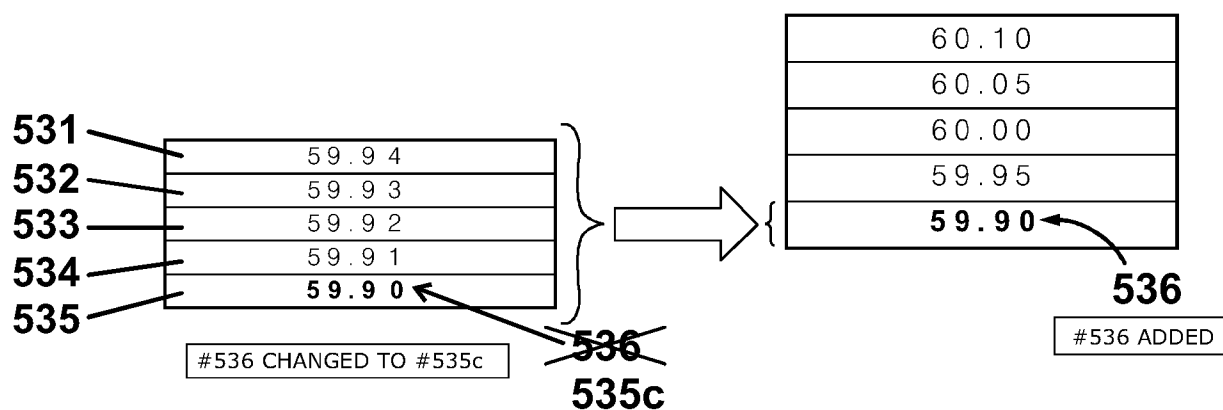


FIG. 53c



43/44

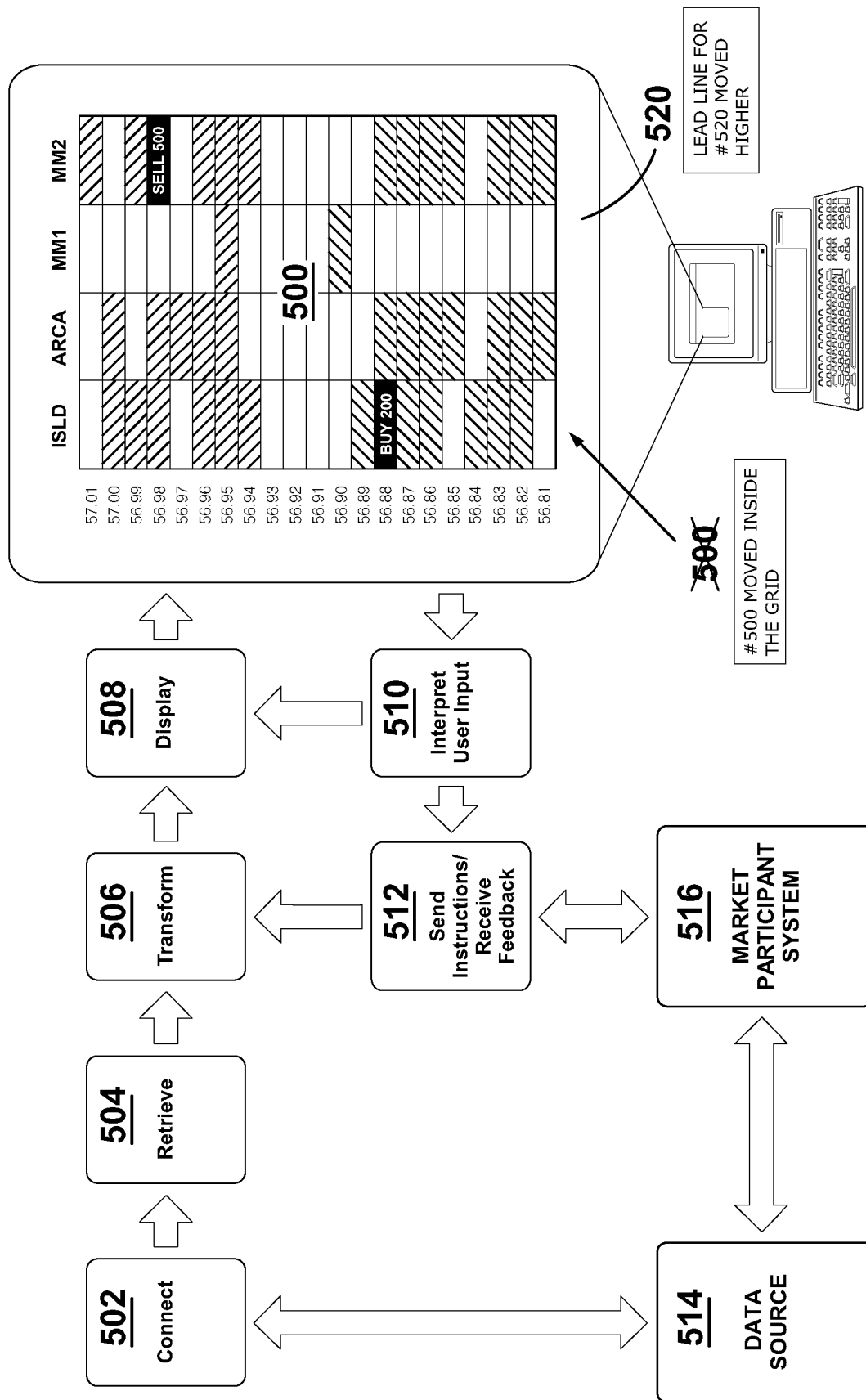
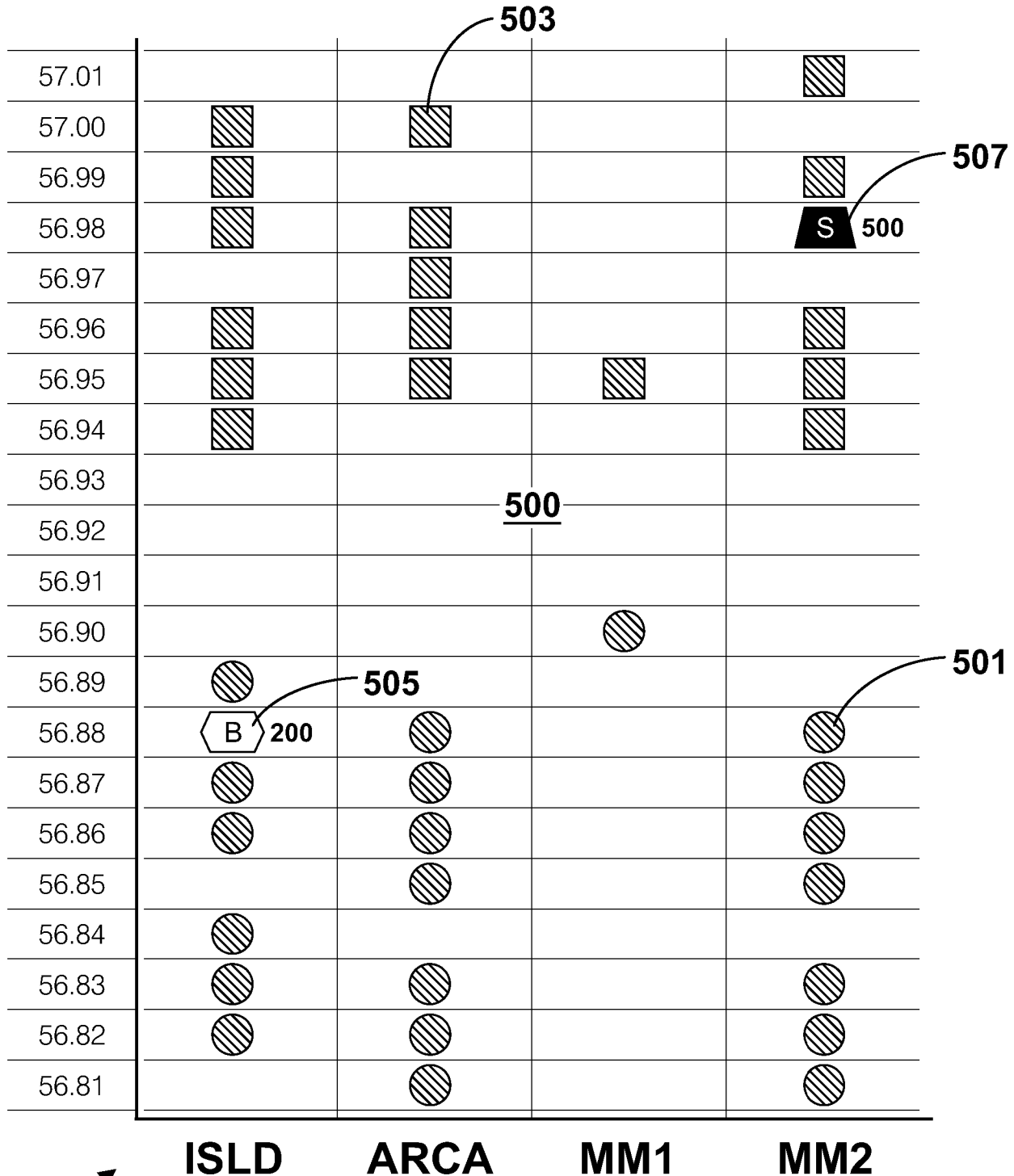


FIG. 54

44/44



HATCHED CIRCLE ADDED IN THE 56.83 ROW, ARCA COLUMN

MOVED #500  
INSIDE THE GRID

FIG. 55